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The role of brain oscillations as functional correlates of cognitive systems: a study of frontal inhibitory control in alcoholism

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Abstract

Event-related oscillations play a key role in understanding the brain dynamics and human information processing. In the present study, the Go/No-Go paradigm has been used to examine whether alcoholics have poor inhibitory control as compared to control subjects in terms of different oscillatory brain responses. The matching pursuit algorithm was used to decompose the event-related electroencephalogram into oscillations of different frequencies. It was found that alcoholics ($n=58$) showed significant reduction in delta (1.0–3.0 Hz) and theta (3.5–7.0 Hz) power during No-Go trials as compared to controls ($n=29$). This reduction was prominent at the frontal region. The decreased delta and theta power associated with No-Go processing perhaps suggests a deficient inhibitory control and information-processing mechanism. A neuro-cognitive model has been provided to explain the findings. It is suggested that the oscillatory correlates during cognitive processing can be an endophenotypic marker in alcoholism.

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1. Introduction

Frontal lobe pathology in alcoholism has been well documented and studied at the neurophysiological, morphological and neuropsychological levels (Moselhy et al., 2001). There has been compelling evidence for brain abnormalities, especially frontal dysfunction, in 'nonamnestic' chronic alcoholic patients in terms of electrophysiological

(e.g. Begleiter and Platz, 1972; Begleiter et al., 1980; Porjesz and Begleiter, 1987), neuroanatomical (e.g. Harper and Kril, 1985; Pfefferbaum et al., 1997), cerebral blood flow (e.g. Risberg and Berglund, 1987; Nicolas et al., 1993), glucose metabolism (e.g. Sachs et al., 1987; Adams et al., 1993) and a wide range of neuropsychological deficits (Jones and Parsons, 1971, 1972; Parsons, 1977; Miller, 1985; Beatty et al., 1996; Tzambazis and Stough, 2000). The frontal lobes play a major role in cognitive functions such as attention, working memory, creative and critical thinking, planning, decision making, inhibitory control and

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emotional regulation (e.g. Shallice and Evans, 1978; Stuss and Benson, 1984; Rueckert and Grafman, 1996; Miotto et al., 1996). Frontal dysfunction, especially of prefrontal cortex, can lead to decreased will and energy, a tendency to engage in repetitive behaviors, difficulty in shifting response set, poor inhibitory control, abnormalities of affect and emotions, impulsivity, disinhibition and poor motivation (Nauta, 1971, 1972; Drewe, 1975; Fuster, 1989; Kraus and Maki, 1997).

Lack of inhibitory control has many manifestations including a tendency to distractibility, hyperactivity, impulsivity, and symptoms that can be ascribed to poor control of external or internal influences (Fuster, 1989), and these specific deficits are implicated in many behavioral disorders including conduct disorder, antisocial personality disorder, obsessive-compulsive disorder (OCD), attention-deficit hyperactivity disorder (ADHD) and substance abuse disorders, which include alcoholism (Barkley, 1997; Rubia et al., 2001; Vogel-Sprott et al., 2001). Various studies have consistently identified disinhibitory psychopathology as a robust symptom constellation in alcoholics as well as in persons at risk for alcoholism (Gorenstein, 1979, 1987; Alterman and Tarter, 1983, 1986; Tarter and Alterman, 1984; Sher and Trull, 1994; Giancola et al., 1996a,b; Fillmore and Vogel-Sprott, 1999, 2000; Vogel-Sprott et al., 2001).

According to Brutkowski (1965), there are two forms of inhibition that can be ascribed to prefrontal cortex: drive inhibition and response inhibition. It is speculated that motor/response inhibition is effected by the lateral cortex through the caudate nucleus and that drive inhibition is effected by the orbital cortex through the hypothalamus and the amygdala (cf. Fuster, 1989). The response inhibition, as assessed through Go/No-Go tasks, can be defined as the act of withholding or terminating a behavioral response and is considered to be governed by a cognitive inhibitory process (Logan et al., 1984). The neuroimaging studies showed activation in the inferior prefrontal area, middle and inferior frontal gyri and anterior cingulate cortex, with the heightened activity at the right prefrontal areas during response inhibition (Konishi et al., 1999; Garavan et al., 1999; Braver et al., 2001).

As a valid measure for response inhibition, the Go/No-Go paradigm has been widely used in both animal and human experiments (Watanabe, 1986; Brown et al., 1989; Schroger, 1993). The Go/No-Go tasks generally involve making a response to a given stimulus (Go condition), while withholding the response to another stimulus (No-Go condition). Many studies have employed psychophysiological methods, especially event-related potentials (ERPs), in order to identify the neural correlates of response production and inhibition (Pfefferbaum et al., 1985; Pfefferbaum and Ford, 1988; Shibata et al., 1997, 1998; Filipovic et al., 1999; van Boxtel et al., 2001). These methods provide a safe, noninvasive approach to study neural correlates of mental processes. The ERP studies that employed Go/No-Go paradigms have yielded two significant electrophysiological signatures of response inhibition: first, the enlarged N2 component for the No-Go condition, a negative-going peak between 200 and 300 ms over the fronto-central scalp location, and second, what is referred to as 'No-Go P3', an augmented positive-going peak usually peaking between 300 and 600 ms with maximum over fronto-central sites (Pfefferbaum et al., 1985; Elimer, 1993; Jodo and Kayama, 1992; Kopp et al., 1996). This anteriorly distributed No-Go (P300) potential had a markedly reduced amplitude in alcoholic patients as well as persons who are at high risk to develop alcoholism, indicating impaired inhibitory control (Pfefferbaum et al., 1991; Cohen et al., 1997a,b; Fallgatter et al., 1998).

Although event-related brain oscillations have been intensively studied during cognitive processing (Basar-Eroglu et al., 1996a,b; Basar et al., 1997, 1999, 2000, 2001a,b,c,d; Klimesch, 1997, 1999; Klimesch et al., 1997a, 2001a,b; Schurmann et al., 1997, 2001; Schurmann and Basar, 1999, 2001; Doppelmayr et al., 2000; Sakowitz et al., 2000; Röhm et al., 2001; Kolev et al., 2001; Sauseng et al., 2002), only a few studies have attempted to investigate oscillatory changes related to alcohol or alcoholism (Laukka et al., 1997; Krause et al., 2002; Suresh et al., in preparation (a) and Suresh et al. in preparation (b)). There is also a paucity of research examining oscillatory neural correlates of response inhibition using Go/

No-Go paradigms (Shibata et al., 1997, 1998). Event-related oscillations are considered to be different from the ongoing 'idling rhythms', wherein a task- or process-related 'partial-phase resetting' occurs in different electroencephalogram (EEG) frequency bands in response to sensory or cognitive stimulation (e.g. Basar, 1980; Makeig et al., 2002). Evidence suggests that ERP features arise from oscillatory changes due to sensory and cognitive processes in the dynamics of ongoing EEG rhythms of different frequency bands (Basar-Eroglu and Basar, 1991; Basar-Eroglu et al., 1992; Schurmann et al., 1995; Yordanova and Kolev, 1996, 1998; Karakas et al., 2000a,b; Basar-Eroglu et al., 2001; Demiralp et al., 2001; Schurmann et al., 2001). Various cognitive processes have been attributed to different frequency rhythms of oscillatory responses. For example, delta responses are assumed to mediate signal detection and decision making (e.g. Basar et al., 1999, 2001a; Schurmann et al., 2001), while theta rhythms are attributed to different cognitive processes such as conscious awareness, episodic retrieval and recognition memory (e.g. Klimesch et al., 1994, 2001a,b; Doppelmayr et al., 1998; Basar et al., 2001d). The slow alpha rhythm (8–10 Hz) has been reported to be modulated as a function of attentional demands (e.g. Basar et al., 1997; Klimesch, 1997; Klimesch et al., 1998), and fast alpha activity (10–12 Hz) has been found to mediate semantic memory processes as well as stimulus-related aspects (e.g. Klimesch, 1996; Klimesch et al., 1994, 1997a,b). Further, oscillatory gamma responses were shown to be involved in visual perception and cognitive integrative function (e.g. Basar-Eroglu et al., 1996a,b; Schurmann et al., 1997; Basar et al., 2001a,b).

The effects of acute alcohol administration on event-related oscillations were studied by Laukka et al. (1997). They found that alcohol significantly increased theta activity while the subjects were performing an attentional motor task of simulated automobile driving. Using the event-related desynchronization/synchronization (ERD/ERS), a methodology first described by Pfurtscheller and Aranibar (1977), Krause et al. (2002) examined the effects of alcohol on ERD/ERS during an auditory memory task, and found that the admin-

istration of alcohol decreased the early-appearing ERS responses during auditory encoding and increased the later-appearing ERD responses during retrieval. Alcohol had significant effects on brain electric oscillatory systems in the theta frequency range during both memory encoding and retrieval as well as in the lower alpha frequency range during cognitive processing.

A more recent method, known as event-related coherence (ERCoh), which can reveal functional coupling of different brain areas (Rappelsberger et al., 1994), has been used to study the intra- and inter-hemispheric coherence during Go/No-Go tasks. Shibata et al. (1997) measured ERCoh for subjects performing a Go/No-Go task, and found that coherence in the No-Go condition was significantly higher than that in the Go condition between F3 and F4 channels. It was suggested that synchronization of activity between bilateral dorso-lateral frontal areas might therefore play an important role in the motor inhibition process in humans. In another similar study, they identified two different effects that were manifested during the No-Go condition in the ERCoh: (i) alpha band synchronization between bilateral frontal areas that was related to the decision not to move and (ii) theta band synchronization among bilateral frontal, central and parietal areas, which was presumably related to the motor inhibition process (Shibata et al., 1998). Nevertheless, it is to be noted that there have been no consistent attempts to investigate these event-related oscillatory responses during response inhibition in diagnosed or abstinent alcoholic subjects, despite the fact that alcoholics have been shown to have deficient inhibitory control.

It has been argued that P300 responses were primarily the outcome of oscillatory changes in delta and theta rhythms during stimulus processing (McCarthy and Donchin, 1981; Stampfer and Basar, 1985; Basar-Eroglu and Basar, 1991; Yordanova and Kolev, 1996; Demiralp et al., 1999). Since numerous studies demonstrated that alcoholics consistently showed deficits in P300 responses (e.g. Porjesz et al., 1980; Porjesz and Begleiter, 1996; Cohen et al., 2002) including the No-Go potential (e.g. Pfefferbaum et al., 1991; Cohen et al., 1997a,b; Fallgatter et al., 1998), it was expected that alcoholics in the present experiment would

Table 1
Demographic and clinical characteristics of the sample

Variables	Alcoholics (<i>N</i> =58)	Control (<i>N</i> =29)
Age (yr)		
Mean	38.27	24.05
S.D.	5.77	5.56
Range	19–49	18–35
Education (yr)		
Mean	12.45	14.71
S.D.	2.18	3.16
Range	5–16	4–20
Age of onset of drinking (yr)		
Mean	14.79	NA
S.D.	3.47	NA
Range	12–26	NA
No. of drinking days per month ^a		
Mean	19.54	2.1
S.D.	10.51	2.69
Range	0–30	0–10
No. of drinks ^b per drinking day ^a		
Mean	9.32	1.59
S.D.	7.14	1.78
Range	0–36	0–6

NA, not applicable.

^a Data are for the 6 months prior to the treatment in alcoholic group.

^b One drink=1 shot glass of hard liquor; 1 glass of wine; 1 bottle of beer.

show deficits primarily in delta and theta oscillatory responses that give rise to the P3 component.

The purpose of the present study was to examine the neural correlates of inhibitory control in alcoholics and in control subjects in terms of oscillatory brain activity in different frequency bands during the performance of a Go/No-Go task. We recorded behavioral responses and EEG while the participants were performing this task. We hypothesized that alcoholics would show abnormalities in response inhibition as elicited by a Go/No-Go paradigm in terms of different brain oscillatory responses, primarily of delta and theta rhythms that might account for the deficient P300 component in alcoholics. It was expected that a comparison of magnitude, spatial and temporal characteristics of these oscillatory responses in alcoholic patients and healthy control subjects would allow us to specify the abnormalities of neural processes related to response inhibition in alcoholics.

2. Materials and methods

2.1. Subjects

The experimental subjects were 58 alcoholics (33 males, 15 females) with age-range of 19–49 years, while 29 normal volunteers (15 males, 14 females) aged between 18 and 35 years served as controls. The demographic and clinical characteristics of the sample are presented in Table 1. Alcoholics were diagnosed according to DSM IV criteria for alcohol dependence and were recruited from Kings County Hospital, New York. Prior to testing, they had been detoxified in a 30-day treatment program and none of the subjects was in the withdrawal phase. Controls were recruited either through notices posted in the SUNY Health Science Center, New York, or through newspaper advertisements. Only healthy volunteers without any personal and/or family history of major medical or psychiatric disorders and substance-related

addictive illnesses were selected as control subjects.

As an initial screening procedure, all the participants filled out a questionnaire containing details of personal and family history for medical, psychiatric and addictive disorders. However, alcoholic subjects with past history of psychiatric disorders and with comorbid diagnoses of substance use were also included in this study. The clinical data were obtained using Bard/Portjesz adult alcoholism battery, a semi-structured clinical assessment schedule based on DSM IV criteria for the evaluation of clinical details of alcohol dependence and alcohol-related medical problems. Subjects were requested to abstain from alcohol and other central nervous system (CNS)-acting substances for 5 days prior to testing. A questionnaire, documenting the drug use (alcohol, marijuana, cocaine, hallucinogens, methadone, tranquilizer, antidepressants, neuroleptics, other prescribed medications, nicotine and caffeine) over the previous 5 days and several hours prior to testing, was administered on the day of testing. Further, on the day of testing, all the subjects underwent both urine screen and Breathalyzer test for the purpose of screening for recent drug use. Positive findings on these tests would exclude the subject's EEG data from any analyses. The Mini Mental State Examination (MMSE) (Folstein et al., 1975) was used to screen the participants for organicity. The subjects who had a history of major medical and neurological conditions including head injury, which would account for organicity, were also excluded from the study. All subjects had normal or corrected normal vision, and none reported hearing loss or impairment. An informed consent explaining the scope and methods of the study was also obtained before conducting the experiment. Experimental procedures and ethical guidelines were in accordance with approval from the Institutional Review Board (IRB).

2.2. *Experimental paradigm*

The subjects were seated 1 m away from the computer screen, on which the visual stimuli for the task were presented. The visual stimuli subtended a visual angle of approximately 1° and

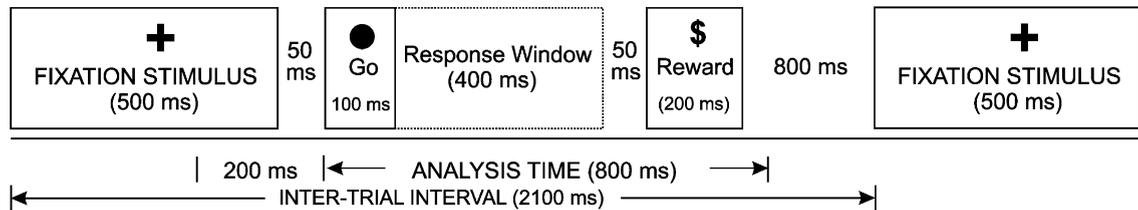
consisted of (i) a cross (fixation stimulus), (ii) a circle (Go or No-Go stimulus) and (iii) a dollar sign (reinforcement sign). The circles appeared at any of the four corners of the screen, while the cross and dollar signs appeared at the center of the screen. The circles at the top right and bottom left corners served as Go stimuli, for which the subjects had to respond by pressing a button as quickly as possible, whereas the circles that appeared at the top left and bottom right corners served as No-Go stimuli.

The experimental phase consisted of 100 trials, having 25 circles in each of the four corners of the computer screen presented in a random order. The sequence, exposure time and interstimulus intervals of the task are illustrated in Fig. 1. The subjects were instructed to press a button whenever they saw a circle in either the top right or bottom left corner. The subjects would gain 25 cents for a correct button press and would lose the same amount for an incorrect button press. If the subject pressed correctly, he/she would see a dollar sign to indicate a gain of 25 cents. However, there was no feedback given for incorrect responses. The inter-trial intervals (ITI) for the Go trials with and without dollar sign (i.e. correct and incorrect Go trials) were 2100 and 1550 ms, respectively, while the ITI for the No-Go trials was 1550 ms (as the dollar sign never appeared in the No-Go trials). The probabilities of occurrence of Go and No-Go stimuli were kept equal (50/50), and the order of these stimuli was randomized.

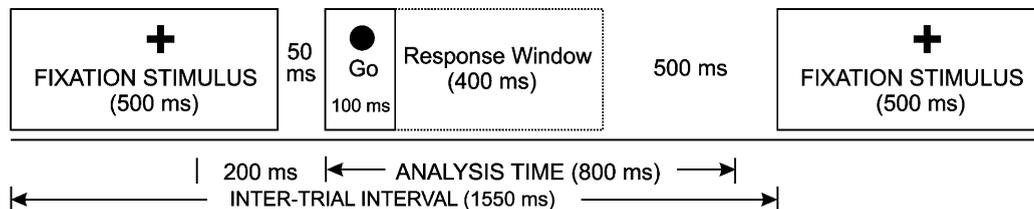
2.3. *Electrophysiological data acquisition*

EEG activity was recorded using a 61-lead electrode cap (Electro-cap International, Inc., Eaton, OH) that included 19 channels of the 10–20 International System and 42 additional electrode sites as follows: FPZ, AFZ, AF1, AF2, AF7, AF8, F1, F2, F5, F6, FCZ, FC1, FC2, FC3, FC4, FC5, FC6, FT7, FT8, C1, C2, C5, C6, CPZ, CP1, CP2, CP3, CP4, CP5, CP6, TP7, TP8, P1, P2, P5, P6, POZ, OZ, PO1, PO2, PO7 and PO8 (Electrode Position Nomenclature, American Electroencephalographic Association, 1991). The electrodes were referenced to the nose and the ground electrode was placed on the forehead. The electrooculogram

1. Go (Correct Response)



2. Go (Incorrect Response)



3. No-Go (Correct/Incorrect Response)

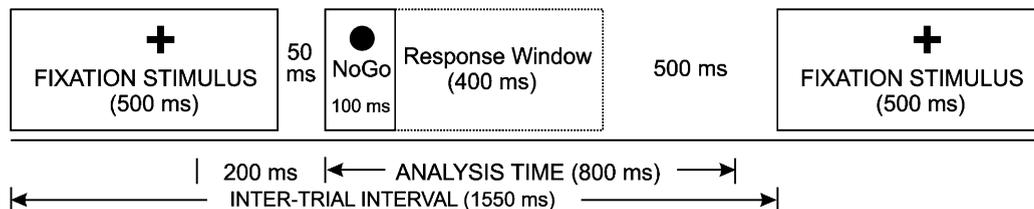


Fig. 1. The illustration of Go/No-Go task, showing (1) correct response for the Go trials; (2) incorrect response for the Go trials and (3) correct/incorrect responses for the No-Go trials.

was recorded with horizontal and vertical leads placed at the outer canthus and supraorbitally on the left eye. The impedance was maintained below 5 k Ω . The signals were amplified with a gain of 10 000 by a set of amplifiers (Sensorium, Charlotte, VT) with bandpass of 0.02–100 Hz. The data were recorded on a Neuroscan system (Version 4.1) (Neurosoft, Inc., El Paso, TX) with a sampling rate of 512 Hz.

The subjects were seated in a comfortable, reclining chair located in a dim-lit sound-attenuated RF-shielded room (IAC, Industrial Acoustics, Bronx, NY) and were instructed about the task

requirements and response pattern. All the subjects were given practice trials in order to learn the task before starting the experimental phase. The practice phase consisted of 20 Go and No-Go trials, respectively, and the stimulus presentation was identical to that of the experimental phase as explained in Section 2.2. However, during the practice phase, a feedback signal (i.e. a beep) was given whenever the subject's button-press response was incorrect, and no reward was accrued. Recording was done only during the experimental phase. The subjects were asked to concentrate on the Go and No-Go stimuli rather than on the dollar sign.

The total amount gained as reward was not displayed during stimulus presentation. However, the subjects received the full amount at the end of the experiment without deductions for errors, although they were not informed about this while performing the experiment. The behavioral data such as correct and incorrect responses and response time were also recorded.

2.4. Signal analysis

Detailed investigation of evoked response EEG data, which generally have a non-stationary character and contain both time-locked and non-time-locked events, requires a time–frequency analytical approach. A number of methods exist to calculate time–frequency–energy distributions of temporal data, and these include the short-time Fourier transform, the continuous wavelet transform and matching pursuit (MP) decomposition. Durka et al. (2001a,b) discuss the relative merits of each method. In particular, they point out the trade-off between time and frequency intrinsic to the short-time Fourier transform method, and the varying time–frequency resolution inherent in the continuous wavelet transform method (e.g. good time resolution and poor frequency resolution in the high frequency region). The MPs method of Mallat and Zhang (1993) provides good time and frequency resolutions at both high and low frequencies. This is achieved by choosing separable parameters that optimize the representation of structures present in the signal.

The MP algorithm described by Mallat and Zhang (1993) is an iterative procedure in which a linear expansion of the signal is computed via successive approximations with elements of a highly redundant atom dictionary composed of modulated and translated discrete Gaussians (Gabor functions). After m iterations, the MP algorithm decomposes the signal f into

$$f = \sum_{n=0}^{m-1} \langle R^n f, g_n \rangle g_n + R^m f,$$

where g_n denotes a selected atom from the dictionary and $R^m f$ is the residual data after m iterations. During each iteration the algorithm

selects an atom from the dictionary for which the inner product of the atom with the signal, $\langle R^m f, g_n \rangle$, is largest, and then passes the residual to the next iteration. The algorithm continues until a specified percentage of the signal's energy is accounted for (99% for the analyses presented here). The energy–frequency–time distribution of the signal can then be calculated through

$$Ef(t, \omega) = \sum_{n=0}^{m-1} |\langle R^n f, g_n \rangle|^2 Wg_n(t, \omega),$$

in which the term $Wg_n(t, \omega)$ is the Wigner distribution of the atom $g_n(t, \omega)$, t denotes time and ω frequency.

Durka et al. (2001a) describe modifications to the Mallat and Zhang (1993) algorithm, which removes possible bias caused by fixed a priori parameterization of the atoms in the dictionary, through the use of *stochastic* dictionaries. In this method, the parameters (time, frequency and scale) of the subset of atoms to be used (selected from the infinite waveform dictionary) are randomized prior to each decomposition. This negates the requirement for a fixed subsampling of the parameter space and possible statistical bias. This method was implemented here to study event-related brain oscillations using code made available by Durka et al. (2001b) at <http://www.brain.fuw.edu.pl/~mp>.

Following the methods outlined in Tallon-Baudry and Bertrand (1999), energy–frequency–time distributions (calculated via MPs) of the event-related responses have been examined. The total energy response is acquired by calculation of the average of individual trial time–frequency–energy distributions—this average enhances structures that occur in a similar time–frequency region, as related to the stimulus onset and irrespective of their phase relations. For comparison purposes, ERD/ERS time courses, following Pfurtscheller and Lopes da Silva (1999), were calculated for eight predetermined frequency bands: delta (1.0–3.0 Hz), theta (3.5–7.0 Hz), alpha 1 (7.5–9.0 Hz), alpha 2 (9.5–12.0 Hz), beta 1 (12.5–16.0 Hz), beta 2 (16.5–20.0 Hz), beta 3 (20.5–28.0 Hz) and gamma (28.5–50.0 Hz). An epoch length of 800 ms following the stimulus onset along with

200-ms prestimulus EEG segment was used for the MPs analysis (Figs. 3 and 5). The maximum threshold amplitude to remove artifacts was 100 μV and the minimum number of artifact-free trials was kept at 15 for the analysis. The trials with incorrect responses (i.e. button-press responses to No-Go trials and omissions of responses to Go trials) were removed from the analyses. The area under each of the energy curves was calculated via summation for the time course of 100 ms post-stimulus between 300 and 400 ms for delta, theta and alpha frequencies, and between 550 and 650 ms for beta and gamma frequencies, based on the peak values in the distributions.

2.5. Statistical analysis

The objective was to compare the total band power (obtained from the area under the energy curve) of each of the eight different frequency bands between alcoholic and control subjects for No-Go as well as Go trials. The study design included two groups (alcoholics, $n=58$; controls, $n=29$), two trial conditions (No-Go; Go) and eight frequency bands (delta, theta, alpha 1, alpha 2, beta 1, beta 2, beta 3 and gamma).

All 61 electrodes were grouped into 6 scalp regions, as shown in Fig. 2. Initially, the multivariate analysis of variance of each band power on group, age, gender and their interactions was computed. Since there was no significant gender effect on band power, the multivariate analysis of covariance (MANCOVA), having age as a covariance, was performed for comparing each band power between alcoholic and control group on each scalp region separately. The demographic and behavioral data were analyzed using t -test and χ^2 -test.

3. Results

The focus of this study is the comparison between control and alcoholic subjects. Therefore, we only report main effects and those interactions that involve group as a factor. The comparison between trial conditions is beyond the scope of this study.

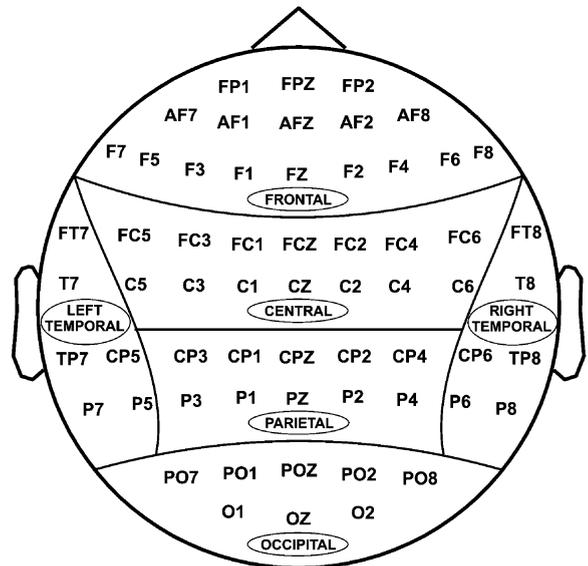


Fig. 2. Regional grouping of electrodes: (1) frontal; (2) central; (3) parietal; (4) occipital; (5) left-temporal and (6) right-temporal.

3.1. Demographic and behavioral data

The average age of alcoholics was significantly higher than that of controls ($t = -10.97$; $P = 0.000$). The proportion of male subjects were higher in alcohol group ($\chi^2 = 4.371$; $P = 0.037$). The alcoholics were found relatively less educated than controls ($t = 4.00$; $P = 0.000$). The MMSE scores of alcoholics (mean = 27.41; S.D. = 2.33) were significantly lesser ($t = 3.22$; $P = 0.002$) than that of controls (mean = 28.87; S.D. = 1.20). However, there was no significant difference in the reaction time ($t = 0.970$; $P = 0.335$) between controls (mean = 312.80 ms; S.D. = 41.11) and alcoholics (mean = 305.47 ms; S.D. = 29.36). Although the error rates of button-press responses in Go and No-Go trials were higher for alcoholics [mean = 6.76, S.D. = 5.68 (Go); mean = 2.81, S.D. = 5.60 (No-Go)] than that for controls [mean = 4.97, S.D. = 3.60 (Go); mean = 1.10, S.D. = 1.37 (No-Go)], this difference was not statistically significant [$t = 1.549$, $P = 0.125$ (Go); $t = 1.613$, $P = 0.110$ (No-Go)]. However, the total response error was significantly ($t = 2.062$, $P = 0.042$) higher in

alcoholics (mean=9.57, S.D.=8.66) than that in controls (mean=6.07, S.D.=4.06).

3.2. Band power: between-group comparisons

Significant group differences were observed only in the delta and theta bands. The control and alcoholic subjects showed no significant differences in alpha, beta and gamma band power. Therefore, the results obtained in delta and theta power have been summarized.

3.2.1. Delta responses

A significant reduction in the delta responses of alcoholics during No-Go and Go trials is illustrated in Fig. 3. The results of the delta power between the two groups (by MANCOVA) on delta power are summarized in Table 2. In the No-Go trials, the alcoholics showed significantly less mean delta power in frontal, central, parietal, left-temporal

Table 2

The comparison of Delta power (μV^2) between control and alcohol group for the No-Go and Go trials (using MANCOVA)

Region	No-Go		Go	
	F-value	P-value	F-value	P-value
Frontal	2.837	0.000***	1.798	0.010*
Central	1.744	0.019*	1.518	0.060
Parietal	2.271	0.003**	1.909	0.015*
Occipital	1.281	0.216	1.746	0.044*
Left-temporal	2.427	0.006**	1.652	0.083
Right-temporal	2.605	0.003**	2.333	0.009**

* $P < 0.05$.
 ** $P < 0.01$.
 *** $P < 0.001$.

and right-temporal regions as compared to control subjects. In the Go trials, the significant reduction in delta power was observed at frontal, parietal, occipital and right-temporal regions. The post hoc comparisons of mean delta power between controls

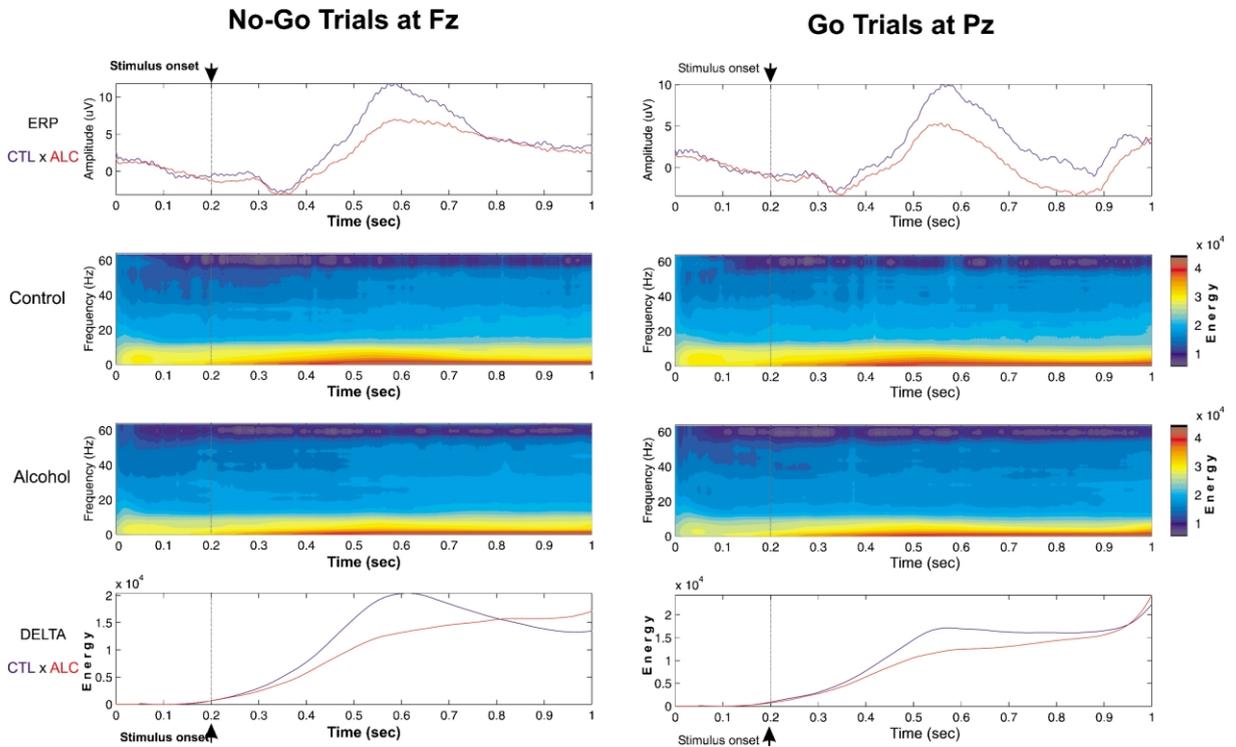


Fig. 3. The ERP waveforms, frequency–time–amplitude plots and energy curves of delta power during No-Go and Go trials in controls and alcoholics.

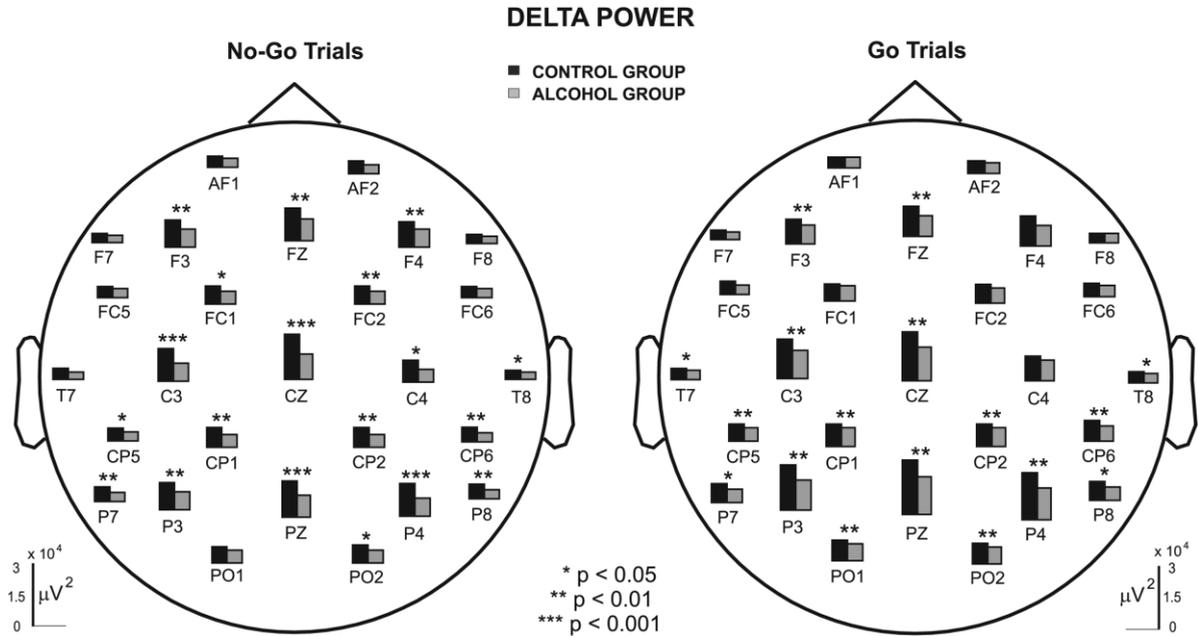


Fig. 4. The mean delta power (μV^2) during No-Go and Go trails in controls and alcoholics.

and alcoholics in each of the electrodes (after being adjusted for multiple comparisons) during No-Go and Go trials are shown in Fig. 4. Alcoholics manifested significantly lower delta power in several electrodes of different regions during No-Go as well as Go trials.

3.2.2. Theta responses

Fig. 5 illustrates that there is a marked reduction in theta activity of alcoholics as compared to controls. The statistical comparison of theta power between controls and alcoholics, based on MANCOVA, is shown in Table 3. During the No-Go trials, theta power was significantly reduced in alcoholics at the frontal region. While alcoholics showed reduction in the average values of theta power in central and other regions, this was not statistically significant due to larger variance in band power values. In contrast, in the Go trials, there was no significant difference observed between controls and alcoholics. However, although there was a trend toward significance in frontal and central regions during Go trials, the post hoc analysis showed that none of the individ-

ual electrodes were significant, as shown in Fig. 6. It can be observed that alcoholics manifest significantly lower theta power than controls in specific electrodes in frontal and central regions during No-Go trials.

4. Discussion

The present study was designed to examine the oscillatory neural responses in a Go/No-Go paradigm in alcoholics and nonalcoholic control subjects. The decomposition of event-related EEG into different frequencies of oscillatory responses using MP produced distinct energy curves for both control and alcohol groups. The energy curves for the delta and theta bands are illustrated in Figs. 3 and 5. The results yielded three major findings: (1) the alcoholic subjects showed a significantly lower band power in delta as well as theta oscillations, (2) the reduction in band power activity of alcoholics was more robust in No-Go trials as compared to Go trials and (3) the reduction in fronto-centrally distributed theta activity during No-Go responses in alcoholics was prominent only

at the frontal region. Although these three findings are inter-related, each emphasizes a different aspect of impairment in diagnosed alcoholic subjects. The first finding focuses mainly on the deficient oscillatory responses in alcoholic individuals during the Go/No-Go task, the second indicates the impairment of inhibitory control in alcoholics, whereas the third finding is suggestive of frontal deficits in alcoholics as compared to nonalcoholic control subjects. In general, at the level of information processing, the deficient oscillatory responses in the No-Go condition would indicate a deficit in frontal lobe functions that involve response inhibition and executive control.

4.1. Functional and neural correlates of delta and theta oscillations

The findings in the present study of deficient responses in delta and theta activity in alcoholics,

Table 3

The comparison of theta power (μV^2) between control and alcohol group for the No-Go and Go trials (using MANCOVA)

Region	No-Go		Go	
	F-value	P-value	F-value	P-value
Frontal	1.746	0.014*	1.472	0.063
Central	1.375	0.117	1.441	0.087
Parietal	1.436	0.114	1.003	0.463
Occipital	0.843	0.635	0.561	0.909
Left-temporal	0.423	0.953	0.767	0.684
Right-temporal	1.291	0.228	1.235	0.263

* $P < 0.05$.

particularly in the No-Go condition, imply a dysfunction in the cognitive as well as neural correlates that mediate and/or cause these oscillations. According to Karakas et al. (2000a), cognitive functions are represented by the integrative activity of neuroelectric oscillations that occur during the

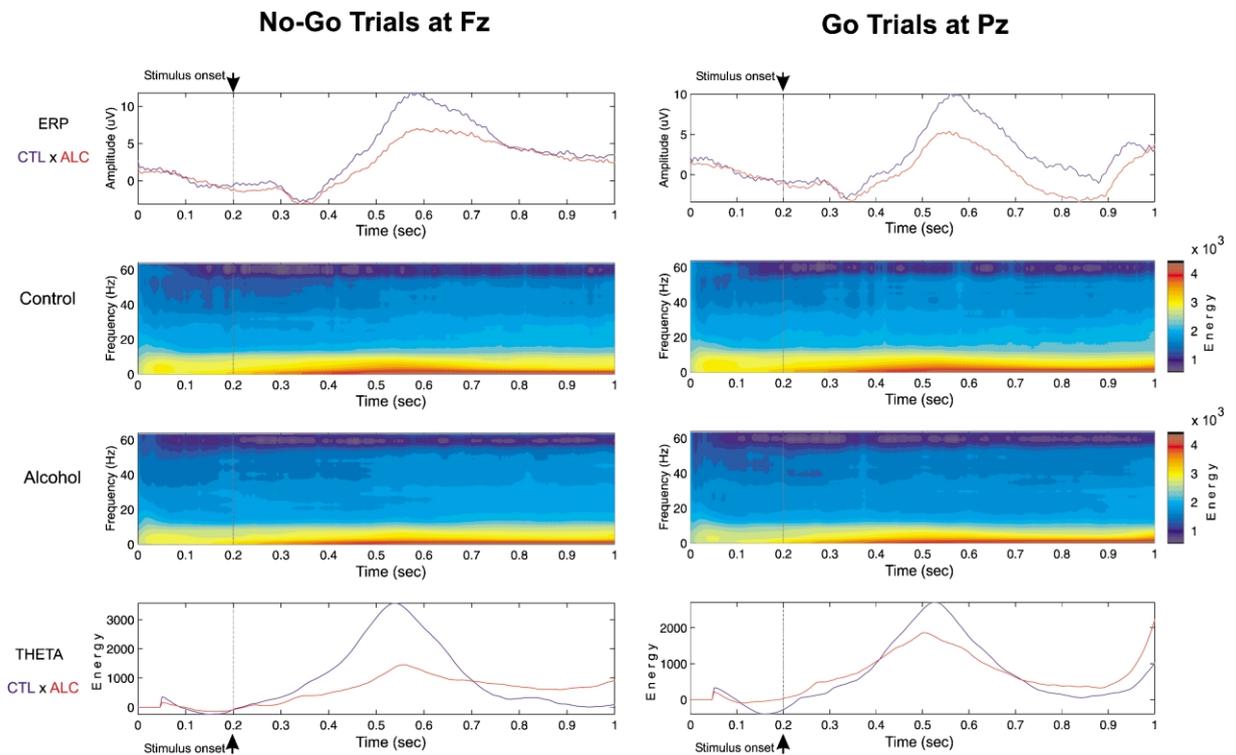


Fig. 5. The ERP waveforms, frequency–time–amplitude plots and energy curves of theta power during No-Go and Go trials in controls and alcoholics.

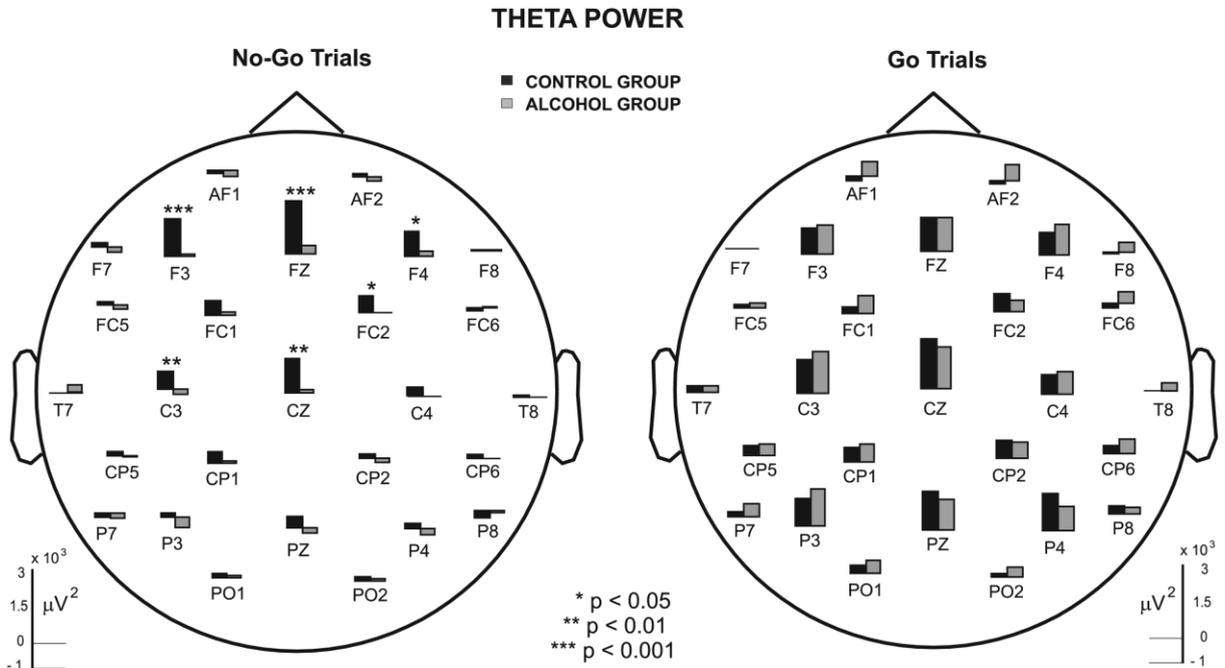


Fig. 6. The mean theta power (μV^2) during No-Go and Go trails in controls and alcoholics.

parallel processing of neural networks. They hypothesized that the delta response represents degrees of consciousness, while the theta oscillation represents different amounts and forms of attention. Studies on normal individuals indicated that the delta response is mainly related to signal detection and decision making (Basar-Eroglu et al., 1992; Basar, 1999; Basar et al., 2001a). It is reported that delta activity is generated by cortico-cortical interactions (Devrim et al., 1999), and is a product of the distributed network system of the brain (Basar-Eroglu et al., 1992; Basar, 1999). On the other hand, event-related theta oscillations are related to cortico-hippocampal (Basar, 1999; Miller, 1991) or fronto-limbic interactions (Karakas et al., 2000b), and are associated with a complex set of cognitive processes including alertness, arousal or readiness (Basar et al., 1999), episodic encoding and retrieval processes (Klimesch et al., 1994, 1997a) and selective attention and short-term memory (Basar-Eroglu et al., 1992; Demiralp and Basar, 1992; Karakas, 1997; Klimesch, 1999). Therefore, the alcoholic individuals who have sup-

pressed delta as well as theta responses are likely to show deficits in cognitive functions that are mediated by these oscillatory processes. There is a body of neuropsychological evidence that supports this view, by showing a wide range of cognitive impairments including attention, working memory, encoding and retrieval processes and other deficits of executive functions in alcohol-dependent individuals (Tarter, 1976, 1980; Miller, 1985; Nixon and Bowlby, 1996; Ihara et al., 2000; Noel et al., 2001; Moselhy et al., 2001; Ratti et al., 2002).

According to Karakas et al. (2000a,b), the basic phenomenon of brain neuroelectric processes is not the ERP but the event-related oscillations. Thus, the P300 is considered to be the outcome of the 'interplay' between the theta and delta oscillations. An increase of 600% of delta response and 200% of theta response has been evoked by odd-ball experiments (Basar, 1999). Like P300, delta and theta oscillations are observed across different modalities (Schurmann et al., 2001; Yordanova et al., 2002). The theta oscillations have been rather

well studied in behaving rats (Bland, 1986; O'Keefe and Recce, 1993; Skaggs et al., 1996; Wang, 2002) and in humans (Klimesch et al., 2000, 2001b; Kahana et al., 1999, 2001; Tesche and Karhu, 2000; Basar et al., 2001d). The studies that examined frontal midline theta (Fm θ) reported that complex mental tasks and bimodal stimulations produce this activation in human subjects (Mizuki et al., 1980, 1983; Lang et al., 1987; Westphal et al., 1990; Demiralp and Basar, 1992; Basar et al., 2001d). Miller (1991) theorized that the major role of theta rhythm is in associative and integrative brain function, and that theta activity in frontal regions is associated with hippocampal theta rhythm. It is worth mentioning that theta activity is also involved in controlling the reactivity of human frontal lobes (Basar, 1998, 1999). Studies done in our laboratory have recently demonstrated a marked attenuation in frontal theta in alcoholic subjects as well as in young nonalcoholic offspring of alcoholic fathers during a complex task involving mental calculation (Suresh et al., in preparation (a), Suresh et al., in preparation (b)). The finding of the present study that theta activity was markedly suppressed in alcoholics at more anterior regions (i.e. frontal and central electrodes) during No-Go trials reinforces the notion that the alcoholic subjects have deficient cognitive functions as well as dysfunctional neural substrates that mediate these functions.

Hippocampal inhibitory interneurons play a central role in theta activity by rhythmically inhibiting pyramidal cells (Chapman and Lacaille, 1999). During each theta cycle, GABAergic afferents may disinhibit pyramidal cells inhibiting by tonically active interneurons (Fox, 1989; Ylinen et al., 1995; Toth et al., 1997). The theta activity may also involve the cholinergic excitation of interneurons (Pitler and Alger, 1992; Behrends and ten Bruggencate, 1993; Williams and Kauer, 1997; McMahon et al., 1998; Wang, 2002). Moreover, the importance of cholinergic mechanisms in cognitive functioning has long been recognized. Lesions of the fimbria–fornix, which conveys septohippocampal cholinergic and GABAergic fibers to the hippocampus, interfere with both learning and memory and also with the generation of the theta rhythm (Brito and Brito, 1990; Givens and Sarter,

1997; Wu et al., 2000). However, there are only few studies on the neurochemical substrates of delta oscillations. Joho et al. (1999) reported that cortical interneurons with Kv3.1 (a voltage-gated, fast activating/deactivating potassium (K^+) channel) are involved in the generation and maintenance of cortical fast gamma as well as slow delta oscillations. It is also believed that the activity of Kv3.1 channels may also influence the amount of GABA released from fast-spiking GABAergic interneurons.

The role of the GABA_A receptor system in modulating the behavioral and pharmacological effects of ethanol has been well studied (Ticku, 1990; Korpi, 1994; Mihic and Harris, 1996; Grobin et al., 1998; Chester and Cunningham, 2002). Lingford-Hughes et al. (1998) reported that abstinent alcohol-dependent subjects had decreased levels of GABA-benzodiazepine receptors as compared to non-alcohol-dependent subjects within the frontal, parietal and temporal cortices. The association between dysfunction in the cholinergic system and cognitive impairment in chronic alcoholism has also been reported (Hodges et al., 1990; Freund and Ballinger, 1991; Arendt, 1994; Grunberger et al., 1998). These findings reinforce the notion that alcohol-dependent individuals are characterized by deficient neurobiological and cognitive systems that can be elicited through electrophysiological, neurobiological and neuropsychological procedures.

4.2. *Disinhibition and alcoholism*

Our finding that the oscillatory responses associated with the No-Go condition are compromised in alcoholics is supportive of the view that alcoholism is frequently associated with poor inhibitory mechanisms at the neural, cognitive and behavioral levels. The theta activity at the cellular level is produced by the inhibitory interneurons of the hippocampus (Chapman and Lacaille, 1999). It has also been demonstrated that frontal midline theta serves a response controlling function (cf. Basar et al., 2001d). Further, it was also reported that the reduced theta and low alpha support the failure of the inhibitory control at the cognitive level (Klimesch et al., 2000), a notion that sup-

ports the disinhibition hypothesis. According to sensory-inhibition theory, theta is associated with generalized inhibition of non-relevant sensory systems during perceptual processing (Sainsbury, 1998). Many studies have demonstrated that alcoholics perform poorly in inhibition-related psychophysiological as well as neuropsychological tasks such as Go/No-Go, Stop-Signal, Stroop paradigms and Continuous Performance Test (Cohen et al., 1997a; Fallgatter et al., 1998; Finn et al., 1999). Moreover, a number of studies have reported the presence of disinhibitory behaviors and externalizing psychopathology in alcoholics as well as in individuals at risk to develop alcohol dependence (e.g. Pihl et al., 1990; Finn et al., 1994; McGue et al., 1997; Conrod et al., 1997). Since P300 has been considered as an index of CNS inhibition (Begleiter and Porjesz, 1999), the P300 amplitude reduction in alcohol-dependent individuals perhaps reflects CNS hyperexcitability and disinhibition.

There are two major, empirically based theories on the development of inhibitory processes at the cognitive and behavioral level: (1) the inefficient-inhibition theory proposed by Bjorklund and Harnishfeger (1990, 1995) and (2) the susceptibility-to-interference theory held by Dempster (1992, 1993). According to the inefficient-inhibition theory, inhibitory processes block the spread of activation that would have otherwise been executed, and are linked to the maturation of the nervous system. These inhibitory processes become more evident during the course of child development, resulting in less irrelevant information entering working memory and thus increasing its functional capacity. On the other hand, the susceptibility-to-interference theory, adopting a neuropsychological perspective, argues that inhibition has a variety of operating characteristics that may vary on temporal (e.g. proactive, coactive and retroactive), formal (motoric, perceptual and linguistic) and spatial (internal and external) dimensions. These dimensions have different developmental trajectories thereby producing a stage-like quality to the development of a child's sensitivity to interference. However, both theories assume that the development of inhibitory capacity is closely associated with maturational changes of the frontal lobes.

According to Vogel-Sprott et al. (2001), the lack of behavioral inhibition under the effect of alcohol can be due to (1) decreased relative salience of No-Go vs. Go signals, (2) decreased working memory capacity or (3) decreased inhibition system activity. Relating disinhibition and alcoholism, Begleiter and Porjesz (1999) proposed a model that the predisposition to develop alcoholism involves an initial, general state of CNS disinhibition/hyperexcitability that is characterized as a homeostatic imbalance between excitatory and inhibitory neural mechanisms. Finn et al. (1999) proposed another model in which the executive processes of working memory and conditional associative learning are involved in behavioral inhibition. They showed that individuals with low working memory capacity were more susceptible to alcohol's effect of increasing impulsive behavior, suggesting that alcohol reduces the capacity of working memory to modulate response inhibition. These models and findings strongly support our view that disinhibition plays a central role in the genesis and maintenance of alcoholism and comorbid symptoms.

4.3. Frontal lobe and alcoholism

We hypothesize that the inhibitory deficits observed in alcoholics may be due to a dysfunctional frontal network system. This hypothesis is based on the following observations: firstly, since response inhibition is a function of frontal lobes (Fuster, 1989), the deficient oscillatory activity during No-Go condition would imply a frontal lobe dysfunction in terms of information processing. This observation can be supported by the ERP findings that the fronto-central maximum No-Go potentials (No-Go P300) were suppressed in alcoholic subjects as compared to controls (Pfefferbaum et al., 1991; Cohen et al., 1997a; Fallgatter et al., 1998). Secondly, as our findings show, No-Go responses associated with theta oscillations in alcoholic subjects were found to be significantly attenuated in the frontal region. The 'frontal theta', which is a major oscillation of the frontal cortex and is involved in response controlling function (Basar et al., 2001d), has been reported to be suppressed in alcohol-dependent individuals

(Suresh et al., in preparation (a)). Thirdly, a number of studies provide strong evidence for frontal lobe dysfunction in alcoholics at neuropsychological, neurophysiological, neurochemical and neuroradiological levels (for a review, Moselhy et al., 2001). And lastly, in recent years, the view that executive functions of the prefrontal cortex are dysfunctional in alcoholic patients is gaining prominence (Giancola and Moss, 1998; Finn et al., 1999; Ihara et al., 2000; Noel et al., 2001; Ratti et al., 2002).

4.3.1. *Neuro-cognitive models on alcoholism*

There have been three main hypotheses on the association between the effects of alcohol on brain structures (Noel et al., 2001; Ratti et al., 2002): (i) right-hemisphere abnormality, (ii) diffused/global brain dysfunction and (iii) frontal lobe dysfunction. According to the right-hemisphere hypothesis, the nondominant hemisphere is more affected by the effects of alcohol (Bertera and Parsons, 1978; Nicolas et al., 1993; Beatty et al., 1996). On the other hand, the diffused brain dysfunction hypothesis postulates that alcohol causes generalized deficits that involve many structures of the brain and the dysfunction resembles that of premature aging (Chelune and Parker, 1981; Parsons, 1994; Tivis et al., 1995). Lastly, the frontal deficit hypothesis maintains that the anterior regions of the brain (i.e. frontal lobe structures) are mainly involved in the effects of alcohol (Tuck and Jackson, 1991; Adams et al., 1993; Cieslieski et al., 1995; Giancola and Moss, 1998; Noel et al., 2001).

The frontal dysfunction hypothesis in alcoholism has been frequently discussed (e.g. Ihara et al., 2000; Ratti et al., 2002) and supported by many neuropsychological findings (e.g. Tarter, 1975; Krill et al., 1997; Dao-Castellana et al., 1998; Noel et al., 2001). It may be interesting to note that the frontal deficit hypothesis can explain the basic premises of the other two hypotheses (i.e. the right-hemisphere hypothesis and the diffused dysfunction hypothesis) by the virtue of two established facts: (1) frontal lobes have rich reciprocal connections with other cortical and subcortical areas of the brain (Fuster, 1989; Mesulam, 2000) and (2) prefrontal (executive) functions are

involved in all the controlled processes of willed actions associated with any of the cognitive functions (Godefroy et al., 1999; Badgaiyan, 2000; Collette and Van der Linden, 2002). Therefore, it is possible that the deficits observed in cognitive tests that were meant to elicit functions of other areas (e.g. visuospatial functions of parietal lobes) could have been directly or indirectly associated with frontal network systems, and thus would have influenced the profile of impairments in alcoholics. For example, Sullivan et al. (1992) reported that while copying Rey–Osterrieth complex figure, alcoholics displayed abnormality in both organizational strategy and accuracy, whereas schizophrenics showed impairments only in strategy formation. They report that the anatomical basis for such dysfunction may stem from the regions of frontal lobes, or of fronto-striatal circuitry, or of cortico-cortical circuitry of the frontal and parietal lobes.

Giancola and Moss (1998) postulated a cognitive-neurobehavioral model of alcoholism implicating executive cognitive functioning and the fronto-striatal system as the important determinants in the etiology of alcoholism and its comorbid disorders. Considering the findings that alcoholics showed impairments in different executive functions such as planning (e.g. Pishkin et al., 1985), abstraction (e.g. Parker et al., 1991), attention (e.g. Smith and Oscar-Berman, 1992), shifting of attention or set-shifting (e.g. Sullivan et al., 1993), cognitive flexibility (e.g. Glenn et al., 1993) and concept generation or fluency (e.g. Beatty et al., 1993), it is plausible that the cognitive, motor and behavioral disinhibition observed in alcoholics might be caused by poor executive control of frontal lobes. Noel et al. (2001) substantiates this claim by suggesting that the deficits in alcoholic subjects are mainly observed in the controlled processes where the inhibition of dominant response occurs and executive functions are invoked (Godefroy et al., 1999).

However, it is to be noted that a compromised executive cognitive functioning is not specific to alcoholism alone but also observed in diverse psychopathological conditions such as schizophrenia (Evans et al., 1997; Mahurin et al., 1998; Hutton et al., 1998; Krabbendam et al., 1999;

Velligan and Bow-Thomas, 1999), depression (Fossati et al., 2002), OCD (Purcell et al., 1998), ADHD (Kempton et al., 1999; Shallice et al., 2002) and autism (Pennington and Ozonoff, 1996). On the other hand, the components of executive functions that contribute to a pathology might vary for different disorders (Pennington and Ozonoff, 1996). Besides, there has been no consensus in the definition and categories of executive functions (Stuss and Alexander, 2000; Tirapu-Ustarroz et al., 2002). Therefore, the models that emphasized only the executive functions in explaining alcoholism and comorbid disorders have been discouraged (Bates, 2000). However, a neuro-cognitive model of alcoholism that integrates diverse aspects of cognitive dysfunction and comorbidity has not yet been proposed. A hypothetical neuro-cognitive model has been thus attempted in the current study to explain and integrate the frontal deficits in alcoholism.

4.3.2. Prefrontal network systems model of alcoholism

We propose that the attenuated oscillatory responses associated with inhibitory processes (No-Go responses) in alcoholics may have been caused by three major network systems of the prefrontal cortex: (i) the dorsolateral executive network system, responsible for executive functions, (ii) the orbitofrontal inhibitory network system that mediates inhibitory processes and (iii) the anterior-cingulate action-monitoring network system that is involved in action monitoring and error processing (Cummings, 1993; Masterman and Cummings, 1997; Tekin and Cummings, 2002). This model is an outcome of three important observations. Firstly, the functional fractionation of the Go/No-Go task involves executive functions, inhibitory processes and action-monitoring components. Secondly, all three prefrontal networks are highly interactive and mutually contributory, and the dysfunction in one system would disrupt the effective functioning of the other systems. Lastly, alcoholics are known to have deficits in functions involving all three prefrontal systems. It is hypothesized that any dysfunction within the network system would affect the functional integrity of one or more of the systems, and thus would

manifest an abnormality in information processing and behavior. In alcoholics, the diverse symptomatology and comorbidity may differentially involve the neural structures to display a particular clinical picture.

The schematic diagram of this model is shown in Fig. 7. The main connections and interactions of these circuits among themselves and with other regions of the brain have been outlined. The dorsolateral network system that receives input from unimodal and multimodal association areas of the cortex, basal ganglia and mediodorsal thalamus has reciprocal connections with the other two network systems, and predominantly mediates executive cognitive functions. The limbic structures, paralimbic areas, basal ganglia and mediodorsal thalamus give input to orbitofrontal as well as anterior cingulate network systems. The dysfunction in the orbitofrontal network results in disinhibitory behavior, emotional lability and impulsivity, while abnormality in the anterior cingulate network would cause deficits in error correction, self-regulation and apathy. As explained earlier, alcoholics have deficits in executive functions, inhibitory processes and action monitoring, thus implicating all of these prefrontal networks. It can be suggested that the orbitofrontal circuit that is often implicated in disinhibition and addictive behavior is also predominantly influenced by the dorsolateral prefrontal circuit (Hoaken et al., 1998) and by the anterior cingulate circuit (Ridderinkhof et al., 2002; van Veen and Carter, 2002; Luu et al., 2003). The executive functions are involved in the ongoing regulation of behavioral inhibitory system (Finn et al., 1999), and some authors include inhibitory control as part of the executive functions (e.g. Norman and Shallice, 1986; Baddeley, 1996; Collette and Van der Linden, 2002). In monkey studies using the Go/No-Go task, damage to the dorsolateral prefrontal cortex has been shown to impair the response inhibition function (Iversen and Mishkin, 1970; Butters et al., 1973; Sasaki et al., 1989). In humans, the imaging studies showed activation in different brain regions including dorsolateral prefrontal cortex during the Go/No-Go task (Kawashima et al., 1996; Casey et al., 1997). However,

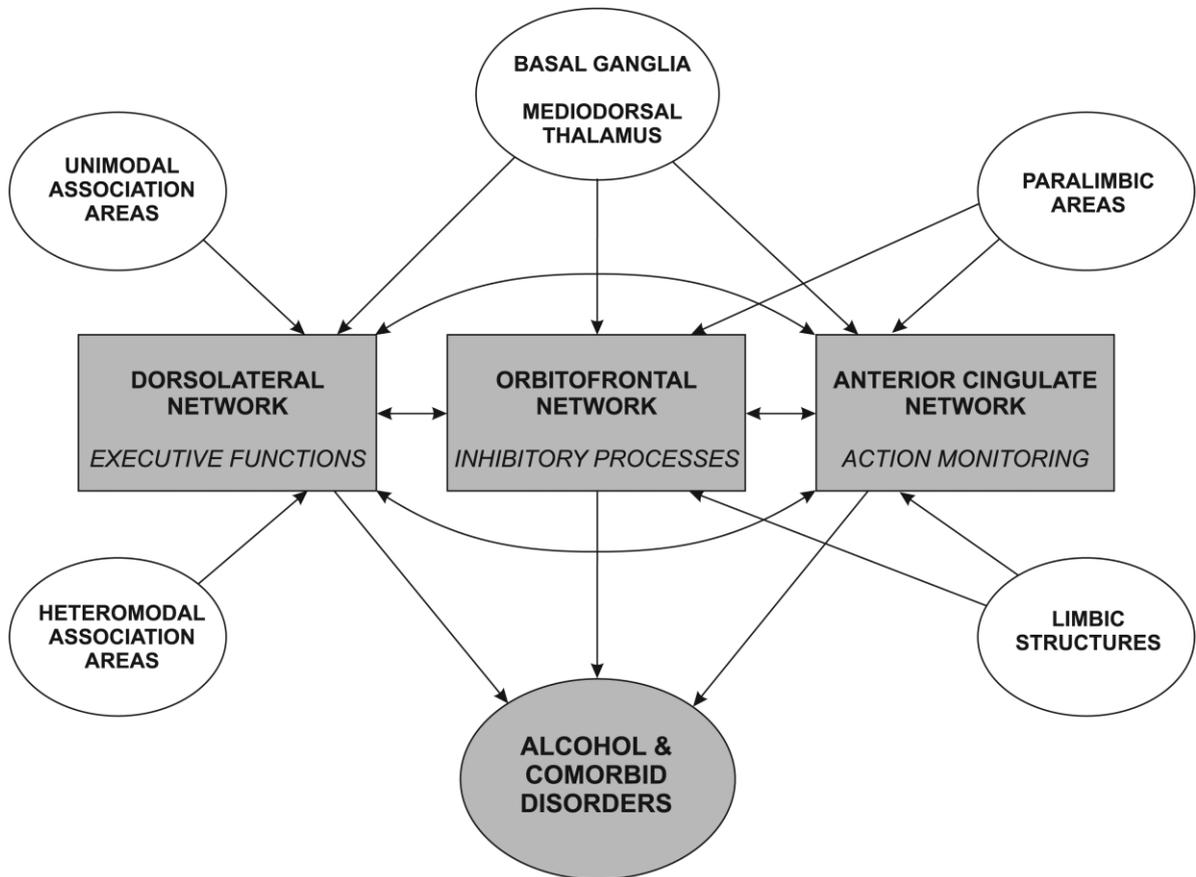


Fig. 7. The schematic diagram showing interactions within and among three major prefrontal network systems in producing alcoholism and comorbid disorders.

different aspects of this model have to be explored and validated by further studies.

It may be postulated that each of the prefrontal network systems is differentially involved in producing a typical clinical picture as well as comorbid symptoms in alcoholics. On the background of the theory of oscillatory neural assemblies that involves parallel distributed processing (Basar, 1998), oscillatory responses might reveal the network mechanisms involved in specific cognitive functions as well as in different disorders. Hence, the experimental paradigms involving electrophysiological (ERPs and oscillations) as well as imaging studies on tasks accounting for fractionated components of these major cognitive functions in normals as well as in alcoholics would help elu-

cidate the neural mechanisms involved in alcoholism and comorbid disorders. Such studies on a wide range of behavioral and addictive disorders might determine the specificity of these markers. On the other hand, the studies of individuals at high risk to develop alcoholism might ascertain the role of heredity in cognitive functions as well as in the causation of alcoholism.

4.4. State or trait?

The state vs. trait controversy is common to any behavioral disorder wherein the etiology and pathogenesis are polymorphic. A meta-analysis on the twin and family studies of the human EEG and ERPs concluded that genomic variation contributes

significantly to individual differences in these measures (van Beijsterveldt and van Baal, 2002). It is also reported that human brain oscillations are highly heritable, and the average heritability of delta, theta, alpha, beta was found to be 76, 89, 89 and 86%, respectively (van Beijsterveldt, 1996; Porjesz et al., 2002a,b). Anokhin et al. (2001) showed a strong heritability of slow EEG rhythms that contribute to P300. Further, a number of authors suggest that the predisposition to develop alcoholism is largely inherited (e.g. Cloninger, 1987; Hesselbrock, 1995; Porjesz and Begleiter, 1998; Begleiter and Porjesz, 1999). The studies on individuals at high risk for developing alcoholism as well as the findings from linkage analysis strengthen this notion (e.g. Begleiter et al., 1984, 1998; Polich et al., 1994; Porjesz et al., 2002a,b). Moreover, the high-risk individuals are reported to have neuropsychological impairments (e.g. Peterson et al., 1992; Knop et al., 1993) and a dysfunctional GABA-benzodiazepam receptor system (e.g. Volkow et al., 1995). Recently, it has been reported that chronic alcoholism in humans alters the expression of GABA_A genes (Lewohl et al., 1997), mitochondrial genes (Fan et al., 1999) and myelin-related genes (Lewohl et al., 2000).

Related to Go/No-Go paradigm, Cohen et al. (1997b) reported that the individuals at high risk to develop alcoholism showed decreased P300 amplitude, thus suggesting a genetic influence of the No-Go potential. On the issue of whether inhibitory process measured in this paradigm is a trait or state variable in alcoholism, it should be noted that developmental theories of inhibition suggest that inhibitory ability is a trait variable that can be identifiable in different developmental stages (van der Molen, 2000). Another line of evidence for this argument comes from the presence of externalizing or disinhibitory psychopathology in alcoholics and offspring at high risk to develop alcoholism (Zucker and Gomberg, 1986; Regier et al., 1990; Sher and Trull, 1994; Pihl and Bruce, 1995; McGue et al., 1997). Recently, Begleiter and Porjesz (1999) provided neurophysiological, neurochemical and genetic evidence to theorize that the genetic predisposition to develop alcoholism involves disinhibition/hyperexcitability, a state of homeostatic imbalance of CNS caused

by a disequilibrium in the homeostatic mechanisms that control the critical balance between excitation and inhibition.

Although the results of the present study allow us only to draw a moderate inference related to the nature of alcoholism, based on the above mentioned views and findings, we propose that, like P300 amplitude, the attenuated delta and theta responses in alcoholics found during No-Go trials might serve as endophenotypic markers. However, replication studies in different subgroups of alcoholic and high-risk subjects are suggested in order to confirm the findings and implications of the present study. Moreover, the longitudinal studies in children at high risk to develop alcoholism would help solve the question as to whether these dysfunctions are due to chronic use of alcoholism or due to inherent predisposition. In conclusion, the results of the present study suggest that the deficient oscillatory responses found in alcoholics during No-Go trials are associated with impairments in frontal inhibitory control and information-processing mechanisms. The proposed neuro-cognitive model explains the involvement of frontal network systems in the development of alcoholism. The task-specific brain oscillations, considered to be the functional correlates of cognitive systems, may also prove to be an endophenotypic marker in alcoholism.

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