



# Cerebral Monitoring in Cardiac Surgery with Near-Infrared Spectroscopic (NIRS) Diffuse Optical Tomography (DOT)

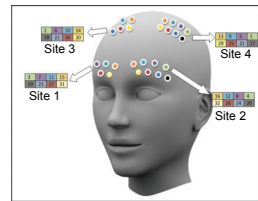
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## I. Introduction

- Cerebral perfusion and oxygenation is an important clinical parameter
- Neurological complications remain prevalent after cardiac surgery, due to inadequate perfusion [1]
- Currently available FDA approved devices providing non-invasive monitoring of cerebral oxygenation are based on low density transmitter/sensor configurations providing limited oximetry sampling of a small volume of the frontal cerebral cortex [2]
- It is uncertain whether such limited sampling is representative of regional cerebral perfusion which is supported by a complex vasculature architecture
- The aim of this study is to determine whether low density optical sensor arrays are sufficient to accurately detect dynamic responses of intraoperative cerebral perfusion in patients undergoing cardiac surgery



**Figure 1.** Geometrical configuration of the four optode arrays.

## II. Methods

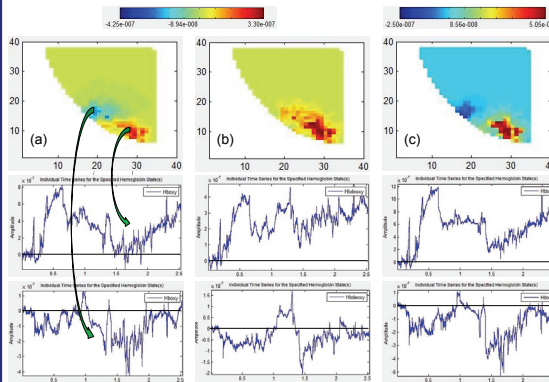
- 6 adult patients undergoing various non-emergency cardiac surgical procedures were recruited for this study (Table 1)
- A multi-channel continuous wave near-infrared optical tomography imager (DYNOT System, NIRx Medical Technologies, LLC., Glen Head, NY) was utilized
- The optodes are attached to a conforming helmet and arranged in arrays covering 4 sites: frontal and prefrontal cortex of the left and right hemispheres (Figure 1)
- Two wavelengths of light (760 nm and 830 nm) are used for imaging, and a complete scan of the array is accomplished in approximately 125 milliseconds
- Concurrent with the optical measurement, physiologic parameters [e.g., mean arterial pressure (MAP), pulmonary artery pressure (PAP)] and maneuvers by the surgical and anesthesia team (e.g., position change of patient) are recorded
- Raw optical data are preprocessed by low-pass filtering to remove high-frequency noise and cardiac and respiratory fluctuations, a maximum coefficient-of-variation threshold is applied to remove excessively noisy data channels; and data are normalized to compensate for differences in detector efficiency and amplifier gain [3]
- Oxy-, deoxy-, and total hemoglobin time series are computed for the each data channel [3]; volumetric images are reconstructed by the Normalized Difference Method [4] applied to a segmented finite element model (FEM) mesh
- Hemoglobin time series are organized into groups based on the source-detector (channel) distance
- For each channel-distance group, spatial mean and spatial standard deviation time series are calculated and compared within and between the array sites
- Correlation coefficients are computed between pairs of large-distance channels, to determine intra-site and inter-site variability during the entire measurement period and over selected hemodynamically significant events
- The range of changes in hemoglobin concentration during hemodynamically significant events are calculated, and the probability of failure to detect the event by individual channels are computed, as a function of the threshold value.

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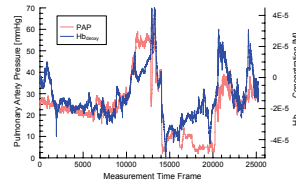
## III. Results

Patient	Gender	Age	Co-morbidities	Surgery	Hemodynamic Event
1	F	65	HTN, DM, CAD	CABG - Off-pump converted to on-pump beating heart	12500:13000 V-fib arrest/crash on CPB
2	F	73	HTN, DM, COPD	CABG - Off-pump	15500:16500 V-fib/ Cardioversion
3	M	67	HTN, CHF	AVR - On-pump	2500:3500 Initiation of CPB
4	F	58	HTN, DM	CABG - On-pump	5000:7000 Initiation of CPB
5	F	57	HTN, DM, CHF	CABG - On-pump	6500:8500 Initiation of CPB
6	F	27	HTN, ESRD, SLE	AVR - On-pump	8000:11000 Initiation of CPB

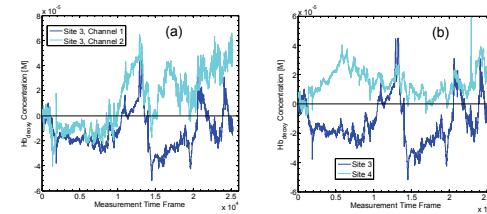
**Table 1.** Patient demographics, surgical procedures, and clinically significant event analyzed. HTN = hypertension, DM = diabetes mellitus, CAD = coronary artery disease, COPD = chronic obstructive pulmonary disease, CHF = congestive heart failure, ESRD = end-stage renal disease, SLE = systemic lupus erythematosus, CABG = coronary artery bypass grafting, AVR = aortic valve replacement, V-fib = ventricular fibrillation, CPB = cardiopulmonary bypass.



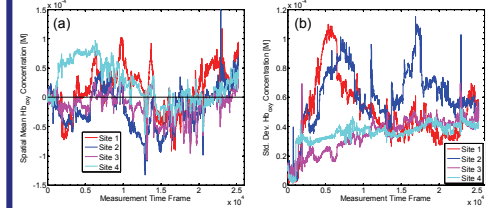
**Figure 2.** Top row, representative 2D sections (axial) through a 3D reconstructed image of the right frontal cerebral cortex, patient 1, during V-fib arrest: (a)  $\Delta Hb_{ox}$ , (b)  $\Delta Hb_{deoxy}$ , (c)  $\Delta Hb_{tot}$ ; units (colorbar) are molar concentration. Second and third rows: reconstructed  $\Delta Hb_{ox}$ ,  $\Delta Hb_{deoxy}$  and  $\Delta Hb_{tot}$  time series, in the indicated volume elements, over the complete measurement time course (about 5 hours).



**Figure 3.** Pulmonary artery pressure time series (red curve) from invasive concurrent physiological monitoring (Swan-Ganz catheter) plotted together with the single detector-channel time series (blue curve:  $\Delta Hb_{deoxy}$ ). Site 3, source-detector separation = 2 cm) that is most strongly correlated ( $r = 0.75$ ) with PAP.



**Figure 4.** (a) Contrast between  $\Delta Hb_{deoxy}$  time series for a pair of nearby channels (source and detector both translated  $\sim 1.5$  cm) in Site 3; (b) contrast between  $\Delta Hb_{deoxy}$  time series for a channel in Site 3 and its counterpart in Site 4; (c) corresponding  $\Delta Hb_{deoxy}$  time series for a channel in Site 1 and its counterpart in Site 2. Source-detector separation is 2 cm in all cases.



**Figure 5.** (a) Spatial mean  $\Delta Hb_{ox}$  time series at each of the four measurement sites, for channels with source-detector separation distances  $\geq 3$  cm; (b) corresponding spatial standard deviation  $\Delta Hb_{ox}$  time series for each of the four measurement sites.

Subject	PAP/Deoxy Corr.	Intra-site Corr. Complete	Intra-site Corr. Hemodynamic Event	Inter-site Corr. Complete	Inter-site Corr. Hemodynamic Event
1	-0.400 +0.753	+0.517 (Site 4) +0.966	+0.220 (Site 4) +0.990	-0.0843 (Sites 3,4) +0.725	-0.0641 (Sites 2,4) +0.935
2	-0.694 +0.536	+0.184 (Site 1) +0.988	+0.364 (Site 3) +0.990	-0.0190 (Sites 3,4) +0.899	-0.00440 +0.954 (Sites 3,4)
3	-0.445 +0.587	-0.0368 (Site 4) +0.994	-0.135 (Site 4) +0.998	-0.0510 (Sites 2,4) +0.962	-0.0408 (Sites 3,4) +0.994
4	-0.838 +0.953	+0.0655 (Site 1) +0.994	+0.203 (Site 4) +0.973	-0.562 (Sites 1,3) +0.888	-0.0498 (Sites 3,4) +0.739
5	-0.899 +0.757	-0.457 (Site 4) +0.996	+0.389 (Site 4) +0.997	+0.0560 (Sites 2,4) +0.905	0.0313 (Sites 2,4) +0.985
6	-0.916 +0.869	-0.259 (Site 1) +0.928	-0.0739 (Site 1) +0.992	-0.0985 (Sites 1,3) +0.967	-0.0205 (Sites 1,3) +0.959

**Table 2.** Ranges of observed correlation coefficients, for channels with source-detector separation distances  $\geq 3$  cm; (Column 2) between hemodynamic time series and the PAP recording; (Columns 3-6) between pairs of hemodynamic time series.

Subject	Threshold $\Delta Hb_{ox}$ Max. $\Delta Hb_{ox}$ (50%)	Threshold $\Delta Hb_{deoxy}$ Max. $\Delta Hb_{deoxy}$ (50%)	Threshold $\Delta Hb_{tot}$ Max. $\Delta Hb_{tot}$ (50%)	Threshold $\Delta Hb_{ox}$ Max. $\Delta Hb_{ox}$ (95%)	Threshold $\Delta Hb_{deoxy}$ Max. $\Delta Hb_{deoxy}$ (95%)	Threshold $\Delta Hb_{tot}$ Max. $\Delta Hb_{tot}$ (95%)
1	56.9	41.2	44.9	18.4	13.4	3.0
2	42.9	19.1	16.7	-5.15	36.2	6.9
3	21.3	11.9	19.4	3.5	28.2	4.3
4	42.1	-23.7	0	-39.3	54.4	-8.5
5	56.5	23.2	64.2	13.3	49.3	17.4
6	23.8	6.8	21.1	12.1	-26.9	-50.0

**Table 3.** Required percent reduction in threshold value in order to achieve the indicated single-channel false negative rates (FNR; FNR =  $100 - \text{Sensitivity}$ ), when the magnitude of the observed change in  $\Delta Hb$  is used as a monitor for clinically significant events (Table 1), for channels with source-detector separation distances  $\geq 3$  cm.

## IV. Conclusions

- Intra-operative regional cerebral perfusion is highly heterogeneous
- Minor changes in source/detector pair location result in notably different signal recordings.
- Low density source/detector configurations currently used to delineate regional cerebral oxygenation intra-operatively are unlikely to provide accurate representation of cerebral perfusion or to detect hemodynamically significant events

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