



# Simultaneous functional diffuse optical tomography and EEG in freely moving and anesthetized rats

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## Introduction

Diffuse Optical Tomography (DOT) is a novel non-invasive functional imaging technology designed to visualize real-time relative changes in oxygenated and deoxygenated hemoglobin (OxyHb and DeoxyHb, respectively) levels in the brain over extended times. Unlike fMRI, which tracks changes in DeoxyHb levels in the brains of immobilized subjects, DOT is less costly, allows the experimental subject to freely interact with the environment, and can readily be combined with EEG and behavioral methods to investigate changes that take place in the brain when rats learn and perform different tasks.

## Methods - Rat Foraging

A DOT imager (NIRx Medical Technologies, LLC) constructed with two near-infrared lasers, 9 sources illuminated at 7Hz, and 16 detectors that detect light following source illumination was attached to an experimental setup (Fig 1) used for EEG recordings. A tether consisting of fiberoptic bundles carried the optical signal from the lasers to the rat skull and from the skull to detectors via a headstage that also contained EEG wires (Fig 2). A plastic “Slinky” was used to suspend the tether removing its weight from the rat.

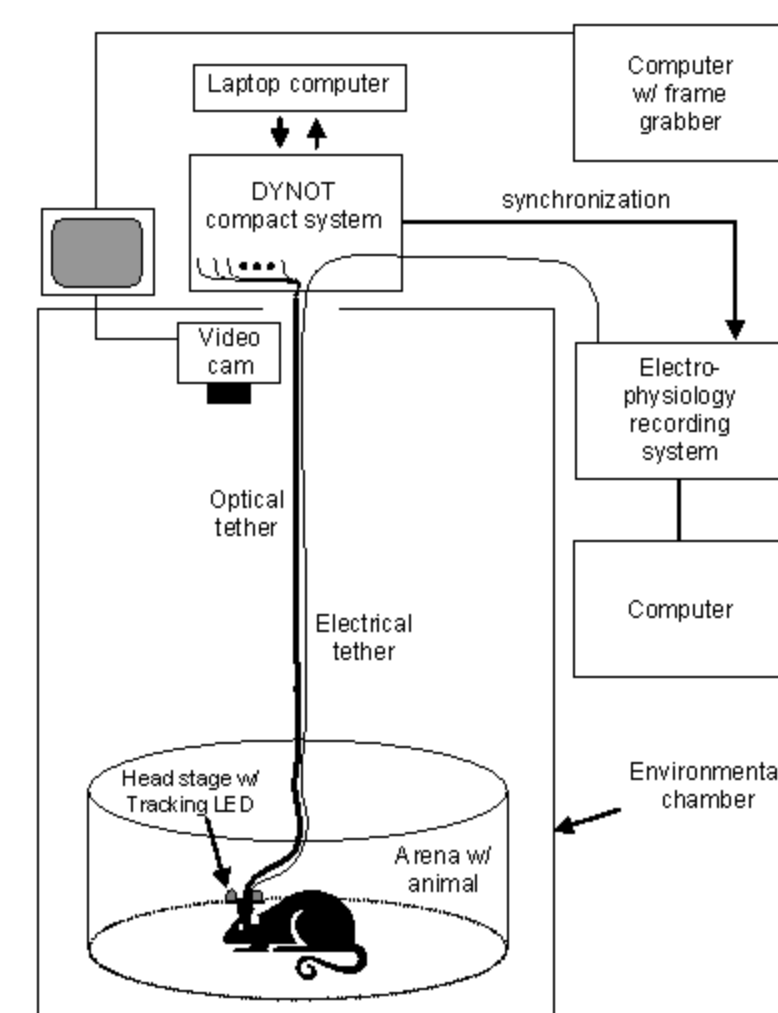


Figure 1: Schematic of experimental setup for rat foraging experiments.

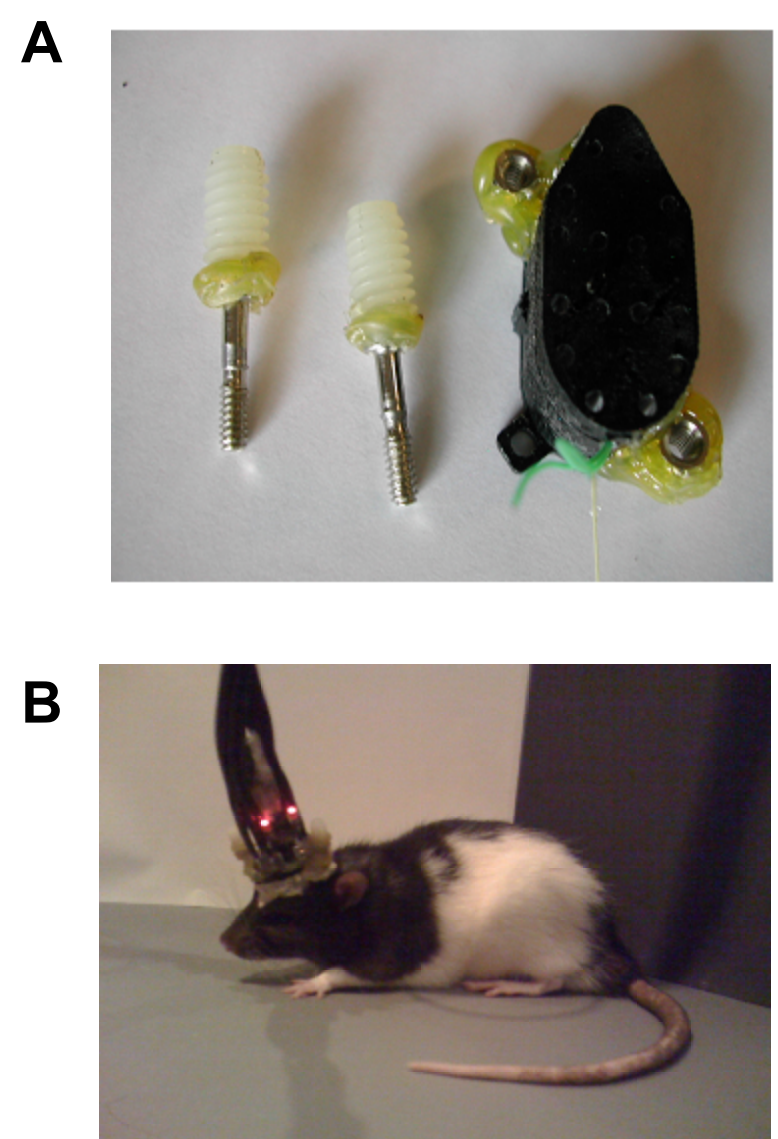


Figure 2: Implant design. A) Implant (right) is affixed to the surface of the rat skull and interfaces with fiberoptic bundle and EEG tether via bolts (left). B) Foraging rat connected to fiberoptic bundle and EEG tether via headstage.

Foraging experiments involved training hungry rats to find food pellets scattered onto a 0.75M diameter cylinder at a rate of 2-3 per minute. Following training, a DOT/EEG implant (Fig 2A) was affixed to the surface of the rat skull under Nembutal anesthesia and the rat was allowed 1 week to recover. A typical experiment involved attaching the male part of the headstage to the implant, placing the rat inside the cylinder and allowing it to forage for 15-20 minutes while DOT and EEG data were recorded (Fig 2B).

## Methods - Foraging Data Analysis

EEG transitions into LIA (Fig 3, top) and theta (Fig 3, bottom) were detected using Matlab code. All LIA and theta epochs >4sec were identified and synchronized with DOT OxyHb and DeoxyHb signals based on timestamps recorded during data acquisition. DOT images extracted from >4sec LIA epochs were subtracted from the closest following >4sec theta epochs images, and averaged across all epoch pairs in a session. The resultant image time series was temporally averaged for the intervals 0-1 seconds and 1-4 seconds, yielding two sets of images for each session.

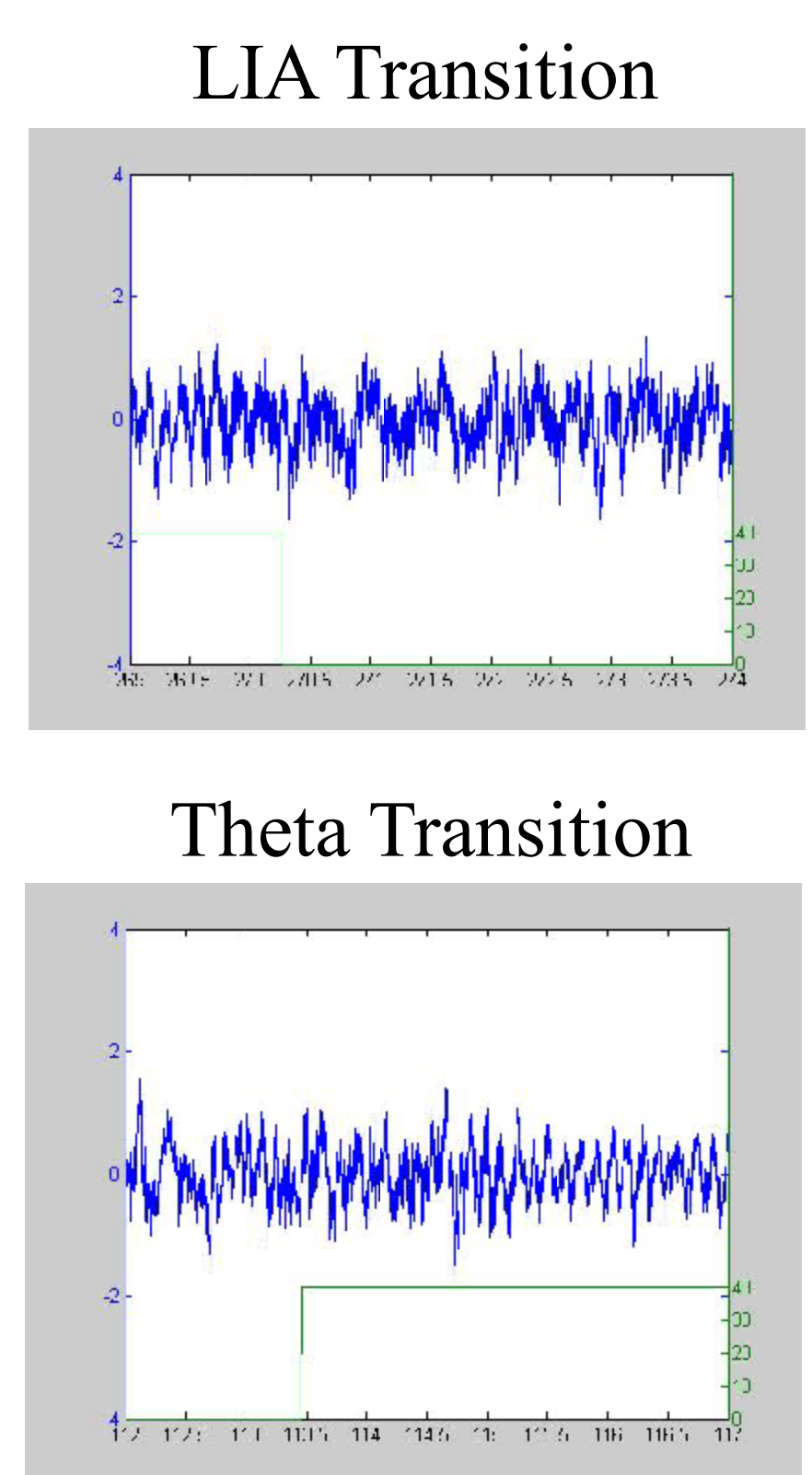


Figure 3: Identification of EEG transitions to LIA and theta. Representative EEG time series (blue) and output of program (green) designed to detect transitions to LIA (top) and theta (bottom).

## Results - Rat Foraging Experiments

Resulting theta-LIA difference images, temporally averaged across 0-1 seconds and 1-4 seconds following EEG state transitions, are plotted for example individual sessions in Figure 4. OxyHb levels initially decrease in the 0-1sec interval after entering the EEG states (Fig 4, row 1), and increase dramatically during the interval 1-4sec after transition (Fig 4, row 4), in a way that resembles the Blood Oxygenation Level Dependent (BOLD) response observed with fMRI. In contrast, DeoxyHb initially increased after transition (Fig 4, row 2), and then decreased dramatically in the interval 1-4sec after transition (Fig 4, row 5). TotalHb did not change significantly following EEG transitions (Fig 4, rows 3 and 5), suggesting that blood volume remains relatively constant during EEG transitions.

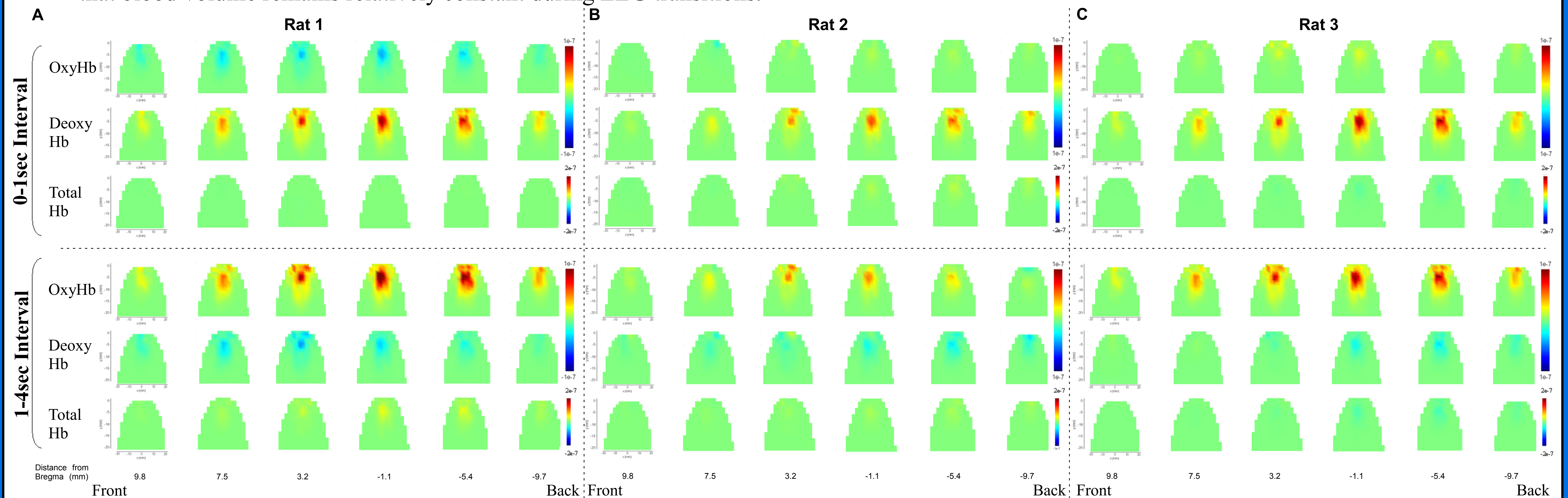


Figure 4: Temporal mean images highlight differences in the brain's hemodynamic state when EEG is in theta vs. LIA. Coronal brain slice images reconstructed for theta-LIA differences of OxyHb (rows 1 & 4), DeoxyHb (rows 2 & 5), and TotalHb (rows 3 & 6), over the intervals from 0-1sec (top panels) and 1-4 sec (bottom panels) after transition pairs are shown for all transitions averaged over a single session for three individual rats (A,B,C respectively). A BOLD-type response, characterized by an initial decrease in OxyHb levels in the 0-1sec interval after entering the EEG states (row 1) followed by a strong increase during 1-4sec after transition (row 4), was observed in nearly all sessions (20/23) for OxyHb. DeoxyHb levels showed an initial increase in the 0-1sec interval after transition (row 2), followed by a large decrease in the 1-4 sec interval after transition (row 5) in most sessions (21/23). TotalHb did not significantly or consistently change following EEG transition.

## Results - Rat Foraging Experiments

Representative spatial mean time series for EEG transitions are shown in Figure 5. OxyHb levels change in a BOLD-like fashion upon transition to theta, with an initial decrease followed by a gradual rise and plateau (Fig 5A, top), but not upon transition to LIA (Fig 5A, bottom). DeoxyHb levels change opposite to OxyHb upon theta transitions, whereas TotalHb levels are not linked to EEG state (Fig 5B).

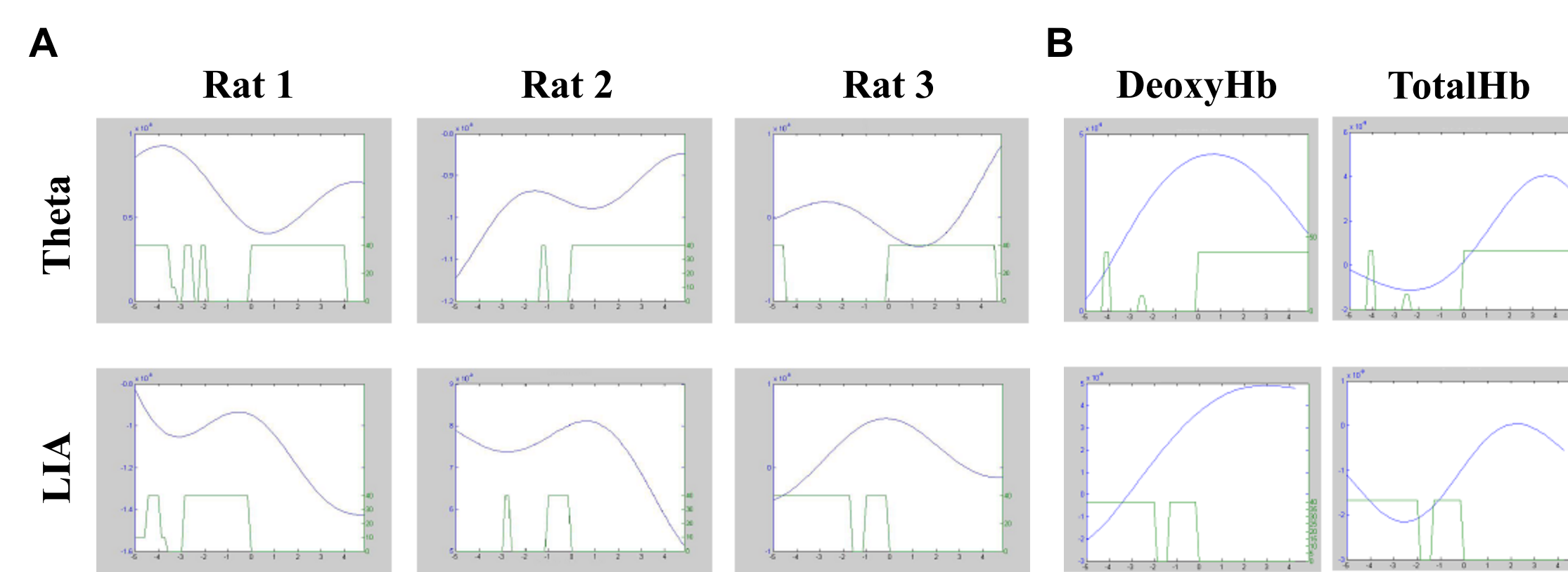


Figure 5: OxyHb and DeoxyHb spatial mean time series are related to EEG transitions into theta and LIA. A) Representative OxyHb time series of spatial means (blue) during transition into theta (top row) and LIA (bottom row) epochs on the same time scale as the theta score (green). Upon transition to theta, OxyHb levels mimic the BOLD response observed with fMRI. B) Representative DeoxyHb (left column) and TotalHb (right column) time series for Rat 1.

The “transition slope” was calculated as the slope of the line that runs through the points  $t = -0.5$  sec and  $2.5$  sec after transition into theta or LIA in the spatial mean time series (Fig 6A). The transition slope was positive for OxyHb upon transition into theta but not LIA (Fig 6B), suggesting that the theta state is a higher energy state than LIA.

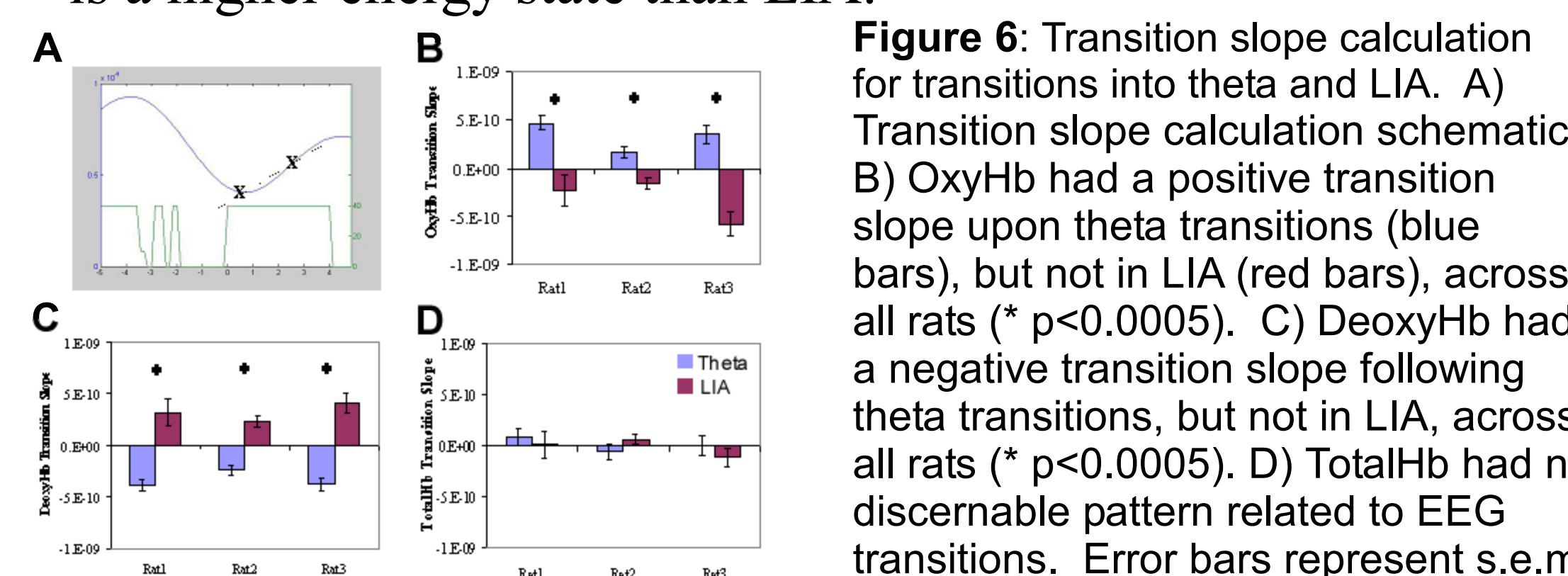


Figure 6: Transition slope calculation for transitions into theta and LIA. A) Transition slope calculation schematic. B) OxyHb had a positive transition slope upon theta transitions (blue bars), but not in LIA (red bars), across all rats (\*  $p < 0.0005$ ). C) DeoxyHb had a negative transition slope following theta transitions, but not in LIA, across all rats (\*  $p < 0.0005$ ). D) TotalHb had no discernible pattern related to EEG transitions. Error bars represent s.e.m.

## Methods - Acute Procaine Injection Experiments

Rats anesthetized with 20% urethane (1.2mg/kg) underwent surgery for implantation of an updated implant with a cannula. DOT images were recorded for 1 hour (baseline), followed by injection of 1uL of 20% procaine into the hippocampus (-3.8mm behind bregma; 3.0mm left of midline). Images were reconstructed and temporally averaged across 0-5min before injection, 5-10min after injection, and 60-65min after injection.

## Results - Acute Procaine Injection

Injection of procaine caused a localized change in OxyHb distribution in the brain, such that there was less OxyHb present in the area of injection (black arrows) when compared to surrounding areas (Figure 7). All changes in OxyHb were consistent across all rats, suggesting that DOT is able to detect local metabolic brain changes.

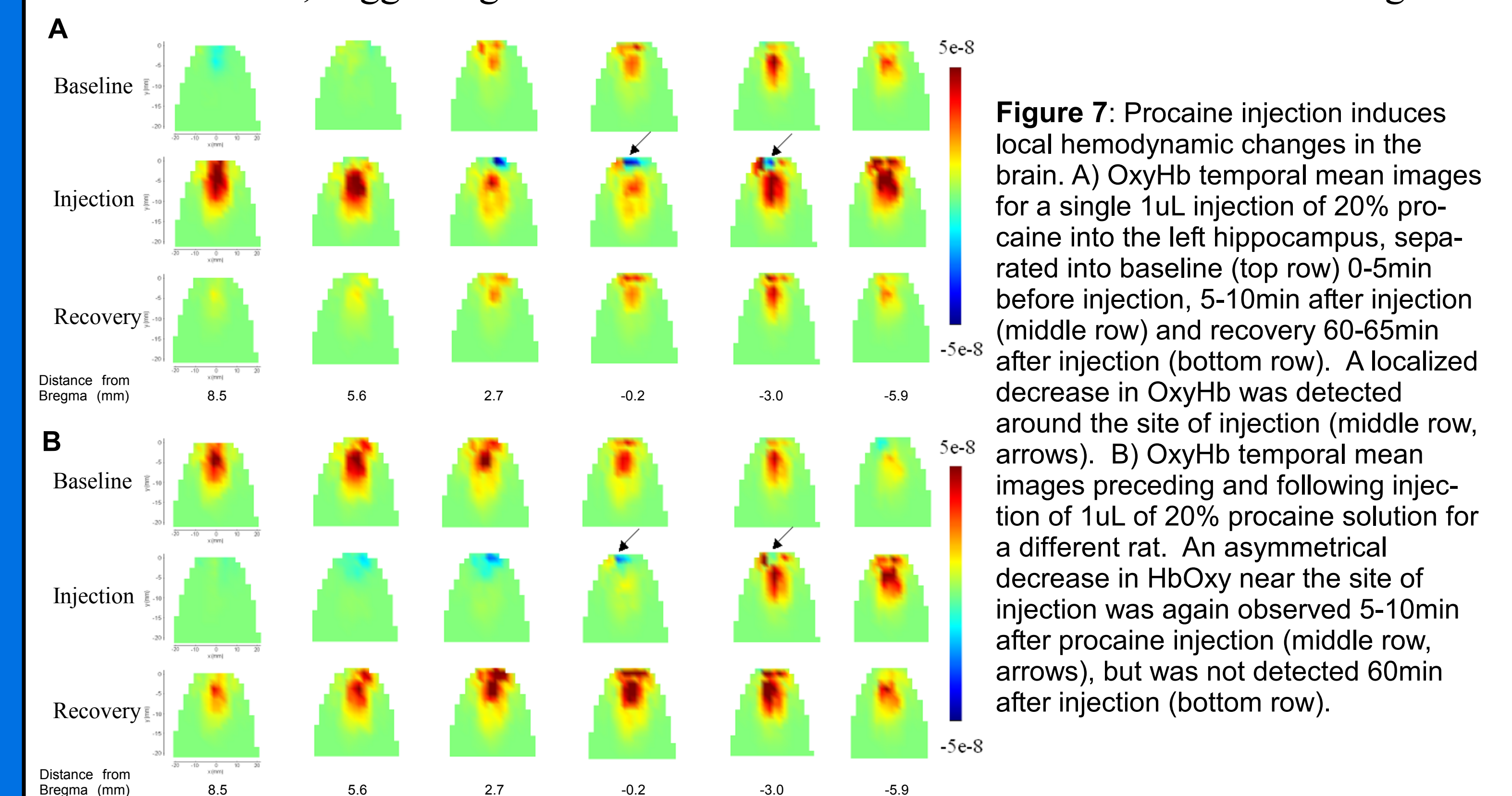


Figure 7: Procaine injection induces local hemodynamic changes in the brain. A) OxyHb temporal mean images for a single 1uL injection of 20% procaine into the left hippocampus, separated into baseline (top row) 0-5min before injection, 5-10min after injection (middle row) and recovery 60-65min after injection (bottom row). A localized decrease in OxyHb was detected around the site of injection (middle row, arrows). B) OxyHb temporal mean images preceding and following injection of 1uL of 20% procaine solution for a different rat. An asymmetrical decrease in HbOxy near the site of injection was again observed 5-10min after procaine injection (middle row, arrows), but was not detected 60min after injection (bottom row).

## Summary

DOT imaging combined with EEG is able to reliably distinguish between two distinct metabolic states that depend on the hippocampal EEG in a freely moving rat. DOT results also allowed us to localize the site of a procaine injection into the hippocampus, enabling us to begin to map tomographic reconstructions onto brain anatomy.

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