



COMPLIANCE PROGRAM STATEMENT

The Compliance Program, which has been chartered by Downstate Health Sciences University's President, is comprised of the following components:

- A. Written Policies and Procedures, including the Code of Ethics and Business Conduct, which includes:
 - a. Compliance with Laws and Regulations
 - b. Adherence to Ethical Standards
 - c. Patient Care
 - d. Non-Discrimination and Harassment
 - e. Confidentiality
 - f. Record Accuracy and Retention
 - g. Use and Protection of Downstate Assets and Transactions
 - h. Avoidance of Conflicts of Interest
 - i. Academic / Research Integrity
 - j. Environmental Laws and Occupational Safety
 - k. Maintenance of a Drug and Alcohol-Free Workplace
- B. A Designated Employee with Compliance Oversight Responsibilities – The Vice President of Compliance & Audit Services (VP-OCAS) is responsible for administering the Program. The Compliance & Audit Oversight Committee (CAOC) is composed of Downstate leadership who are charged with oversight of the Program.
- C. Training and Education
- D. Open Lines of Communication – employees and agents must report any potential violations of law or deviation from compliance standards. All calls/web-reports to the Compliance Line are confidential and may also be made anonymously.

[877-349-SUNY \(7869\)](tel:877-349-SUNY)

A link to the Compliance Line web-reporting site can be found at:

www.downstate.edu

- E. Disciplinary Policies to Encourage Participation
- F. Routine Identification of Compliance Risk Areas / Monitoring and Auditing Systems

- G. A System for Responding to Compliance Issues
- H. Policies of Non-Intimidation and Non-Retaliation
- I. Affected Contract Compliance

NOTE: The full Compliance Program Manual is accessible at:

www.downstate.edu/about/our-administration/compliance-audit-services